UNITED STATES DISTRICT COURT

FOR THE WESTERN DISTRICT OF WISCONSIN

OSWALD SUOJA, : W.D. WI CASE

: 3:99-cv-475

Plaintiff,

:

VS.

:

OWENS-ILLINOIS, INC,

:

Defendant.

Wednesday, November 25, 2015

Trial deposition of ARTHUR L. FRANK,
M.D., PH.D., taken pursuant to notice, held at Nesbitt
Building, 3215 Market Street, Suite 640, Philadelphia,
Pennsylvania, commencing at 8:10 a.m., before Nicolle
J. Tornetta, Court Reporter - Notary Public there
being present.

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- 1 2 (Whereupon, Exhibit Plaintiff-93, Curriculum Vitae, was pre-marked for 3 identification.) 4 5 ARTHUR L. FRANK, M.D., Ph.D., having 6 7 been duly sworn, was examined and testified as follows: 8 9 10 DIRECT EXAMINATION 11 BY MR. McCOY: 12 Doctor, would you begin by giving us your 13 full name and spell your last name for us? 14 Α. Yes, my name is Arthur Leonard Frank, 15 F-R-A-N-K. 16 And what is your present occupation? 17 Q. 18 Α. I'm a physician. And what position do you hold? 19 0. I currently hold here at the Drexel 20 Α. University School of Public Health the position of 21 professor of public health and chair emeritus of 22
- the department of environmental and occupational health. I'm also a professor of medicine in the pulmonary division of the department of internal

- 1 medicine. And I'm also a professor of civil,
- 2 architectural, and environmental engineering, all
- 3 of those here at Drexel.
- 4 MR. CASMERE: Bob, I'll stipulate to
- 5 the CV and his qualifications as a medical doctor,
- 6 so we can skip all that, and Judge Crocker can read
- 7 it at his leisure.
- 8 MR. McCOY: Right. We'll just be
- 9 brief in terms of qualifications. We'll list out a
- 10 few of them for highlights because the CV is so
- long and I want to get out the key points.
- 12 BY MR. McCOY:
- 13 Q. But this is Exhibit 93. This is the -- for
- the record, Doctor, would you identify that for us?
- 15 A. It's my most up-to-date and recent CV of
- 16 November 2015, this month.
- 17 Q. And just going forward, a little bit with
- 18 your credentials. Your educational background
- 19 that's relevant for the purposes of today?
- 20 A. After graduating from college, I was
- 21 fortunate to be admitted to the first class at the
- 22 Mount Sinai School of Medicine in New York. It was
- also that year, in 1968, that I met Dr. Irving
- 24 Selikoff, head of the environmental sciences
- laboratory already recognized in 1968 as a world

- 1 expert in the area of asbestos and asbestos-related
- disease.
- I graduated four years later with my
- 4 M.D. degree from Mount Sinai. I stayed on at the
- 5 Mount Sinai Hospital to do my first year of
- 6 training in internal medicine, that would be
- 7 general adult medicine. I left after that first
- 8 year to become a commissioned officer in the United
- 9 States public health service, a commission that I
- 10 ultimately held for some 37 years, leaving with the
- 11 equivalent rank of Navy captain or an Army colonel.
- 12 I served on active duty between 1973 and 1975 at
- the National Cancer Institute, studying the effects
- 14 of asbestos on tissue.
- When I returned to Mount Sinai in
- 16 1975, over the next two years, I finished my
- training in internal medicine. I also did my
- 18 clinical training in the field of occupational
- 19 medicine, and I had also received my second
- 20 doctoral degree, a Ph.D. in biomedical sciences
- 21 from the City University of New York. The subject
- 22 of my original research had to do with the effects
- of asbestos on certain kinds of tissues. We looked
- 24 at animal tissues, hamsters, bovine tissue. We
- also looked at some human tissue and its biological

- 1 effects. So that would be my formal education.
- Q. Have you had training in the area of
- 3 toxicology?
- 4 A. I have to be a board certified specialist
- 5 in occupational medicine, which looks at exposures
- 6 at workplaces and then tries to prevent disease by
- 7 identifying hazards, you have to have training in
- 8 toxicology. At one of my other universities that
- 9 I've served at, I was actually, among other things,
- 10 a professor of toxicology as well.
- 11 Q. And have you had training in the field of
- 12 epidemiology?
- 13 A. I have. I'm not an epidemiologist in the
- sense that I don't do it full time, but, again, to
- be board certified in occupational medicine, one
- 16 has to have additional training beyond what most
- 17 physicians get in epidemiology. I've taught
- 18 epidemiology to medical students and I have done
- 19 epidemiological research virtually all of my life.
- 20 Q. Have you done epidemiological research that
- 21 involves asbestos diseases?
- 22 A. Yes, I started working with Dr. Selikoff,
- as I said, in 1968. I worked throughout medical
- 24 school and my residency. We looked at a wide
- 25 variety of populations exposed to asbestos, that

- 1 would have been insulators, such as Mr. Suoja. We
- looked at shipyard workers. We looked at plumbers
- 3 and pipefitters. We looked at elevator installers.
- In my career, I've looked at sheet metal workers
- 5 and others.
- 6 Currently I still have research
- 7 going. I have a project in Texas in a plant that
- 8 makes nuclear weapons, and one of the many
- 9 exposures the workers have is to asbestos. And I'm
- 10 leaving in less than two weeks for a trip to both
- 11 Sri Lanka and India. And in Sri Lanka, I'll be
- 12 giving a paper on asbestos and collecting some data
- with some colleagues there that we've been doing a
- joint project on asbestos disease in groups of
- individuals who make asbestos cement products,
- 16 which are common in that part of the world. So I'm
- 17 still doing asbestos research 47 after I started
- 18 with Dr. Selikoff.
- 19 Q. Have you served on any government panels
- that involve asbestos-related matters?
- 21 A. Very many, actually. I served on the board
- of scientific counselors for NIOSH, the National
- 23 Institute for Occupational Safety and Health, and
- 24 the director appointed me to the asbestos and
- 25 man-made fibrous subcommittee. I've served on the

- 1 board of scientific counselors. Now, these are the
- 2 highest level advisory bodies to the directors of
- 3 those organizations to the National Center for
- 4 Environmental Health at the CDC, the Centers for
- 5 Disease Control, in Atlanta. We dealt with
- 6 asbestos issues there. Here in Pennsylvania right
- 7 now, I chair the Environmental Justice Advisory
- 8 Board and we're dealing with a number of issues
- 9 regarding asbestos, but one not very far from
- 10 Philadelphia here in a place called Ambler,
- 11 A-M-B-L-E-R, Pennsylvania, where there is literally
- 12 90-foot piles of asbestos out there. I also serve
- on the Air Pollution Control Board for the City of
- 14 Philadelphia right now, and asbestos is one of the
- issues that we regulate in that setting.
- 16 So I have served in government
- 17 committees in this country and I have advised
- governments overseas about the hazards of asbestos,
- including such diverse places such as Egypt and
- 20 Israel and Thailand and India and China, Brazil. I
- 21 testified in front of the Supreme Court of Brazil
- on the hazards of asbestos, so I've been a
- government advisor at many levels over the years.
- Q. Okay. You mentioned you have board
- 25 certification in the field of occupational

- 1 medicine?
- 2 A. And in internal medicine, yes, sir.
- Q. Okay. And let's talk about the field of
- 4 occupational medicine. What have you done in terms
- of investigation and assessment of workplace
- 6 diseases? Is that a part of the practice?
- 7 A. That's what one does. I've worked in many
- 8 settings. I've certainly worked clinically
- 9 examining workers with a wide variety of exposures
- 10 in many, many different work settings. I've been
- in many work settings, having been hired by
- 12 companies. For example, when I taught at the
- 13 University of Kentucky for 11 years, I ran the
- 14 medical department for a coal company. I was
- responsible for 1,000 coal miners at one point, so
- 16 I went underground, I went to strip mines, I have
- 17 done consultations with many companies and have
- 18 been in many workplaces from steel mills to power
- 19 plants to manufacturing facilities of all kinds.
- 20 It's what a doctor like myself does in addition to
- 21 seeing patients and making determinations of
- asbestos and other diseases in people.
- Q. And the investigation and assessment of
- 24 these workplace diseases, that's including the
- 25 asbestos?

- 1 A. Very much so. I've been in China -- I've
- been going to China since 1991. I studied three
- 3 asbestos factories there, toured all of them, where
- 4 they made a wide variety of asbestos products and
- 5 then we studied the workers in those factories, so
- 6 it is the kind of thing that I go out into the
- 7 setting as well as doing the epidemiological
- 8 research or clinical research.
- 9 I just had a paper published earlier
- 10 this year on the first reported case of a
- 11 mesothelioma in Mongolia with some colleagues over
- there, having been in Mongolia for the last three
- 13 years now teaching and doing research.
- 14 Q. And your work in the
- investigation/assessment of the workplace asbestos
- 16 disease, that goes back to when you were at Mount
- 17 Sinai?
- 18 A. It goes back to 1968, yes.
- 19 Q. What's the main goal in the field of
- 20 occupational medicine?
- 21 A. To prevent disease. To first identify
- 22 causes of disease of various kinds, be it from
- exposure to asbestos or benzine or other chemicals,
- and then to take that knowledge and prevent
- 25 exposures. And there are many ways to do that so

- 1 that future individuals do not develop those
- diseases.
- 3 Q. Have you been involved in the development
- 4 of programs to control occupational diseases?
- 5 A. Yes. I mean, that's what I've done when
- 6 I've worked for various companies. Coal companies,
- 7 I've been a consultant to Ashland Oil when I was in
- 8 Kentucky. I've been in any number of workplaces
- 9 and advise them about hazards, some having to do
- 10 with asbestos, some having to do with things like
- ergonomics, that's man machine or person machine
- interactions. So there are a wide variety of
- things that I've designed programs and made
- 14 suggestions to companies.
- 15 Q. Okay. Now, with specific reference to
- 16 asbestos disease, how do you go about doing that,
- an investigation and assessment of that?
- 18 A. Well, asbestos is a little bit different
- than a lot of other things. We've known about the
- 20 hazards of asbestos for well over a century. The
- 21 modern literature on that -- I mean, we're not
- 22 talking about the Romans that wrote about the
- hazards of asbestos 2,000 years ago. I don't think
- 24 many folks still read Pliny the Elder and the
- 25 original Latin.

- That said, the modern history goes 1 back to a publication in Great Britain in the late 2 1890s talking about the hazards of asbestos. 3 one goes into a setting, looks where asbestos is 4 used. For example, I was a consultant to Toyota 5 when they opened their first automobile plant here 6 in the United States, and that was back in the 7 1980s, again, in Kentucky, and one in the things I 8 9 advised them was to stop using asbestos brakes on 10 cars, putting their own workers at risk from the handling of asbestos products as well as the 11 downstream exposures that auto mechanics and 12 do-it-yourselfers would have from handling asbestos 13 brakes, which I knew to be a hazard from earlier 14 research I had done at Mount Sinai. 15 So you make an assessment and then 16 you suggest either a substitution of a less 17 hazardous material, which we could have done in 18 that case, or you put in good ventilation to 19 properly take up the dust that gets formed. And 20 then as a last resort, you use personal protective 21 equipment, you put workers in respirators or other 22
- Q. Okay. In terms of the actual investigation

breathe the hazardous materials.

kinds of air-supplied equipment so that they don't

23

24

- of the disease that was done, and, again, I'd like
- 2 to keep the references to asbestos here, how --
- 3 what role would something like animal research or
- 4 other types of research play in that?
- 5 A. It all has a role. I think in my career,
- 6 I've had the good fortune of doing everything that
- 7 starts with cell cultures to organ cultures, that's
- 8 pieces of tissue. They give us information that
- 9 you can't get easily or as reproducibly from using
- 10 animals. I've done animal research with asbestos,
- 11 I've done case reports like that case out of
- Mongolia, and then I've done epidemiological
- 13 studies or collected epidemiological data for
- others with regard to asbestos. So I've done all
- those kinds of studies, and each one of those types
- 16 of studies informs one about different aspects;
- 17 about the toxicology or cell interactions or the
- 18 rapidity with which asbestos can interact with
- 19 tissue and cause abnormalities. You can't do that
- in people, but you can do that in animals or in
- 21 culture systems.
- 22 O. What can you do as far as studying people
- in asbestos disease?
- 24 A. You can -- at this point in time --
- 25 Q. I'd like to take it back to the earlier

- 1 time.
- 2 A. Well, in the earlier times what people
- 3 started doing is looking at those people that
- 4 worked with asbestos. And the first disease that
- 5 was described is what we today call asbestosis. It
- 6 didn't get that name until 1924 by studying what
- 7 occurred to people handling asbestos and they would
- get fibrosis of the lung often severe enough to
- 9 kill them.
- 10 There was a written document in 1907
- about a worker who was the last of ten young men
- that had started in an asbestos textile fragment
- 13 factory. Merewether and Price in 1930 had a very
- seminal paper, it was a physician working with an
- industrial hygienist, and what they wrote basically
- is as follows: They said that men get disease,
- women get disease, different products can give you
- 18 disease. What you need to do is provide good
- 19 ventilation. If you can't prov -- or you
- 20 substitute for another product. If you can't
- 21 provide good ventilation, you provide respirators.
- 22 And they even went so far as to say the regular
- respirators they had in those days were not very
- 24 easy to wear and so you might have to consider
- supplying air-supplied hoods. This was 1930.

- 1 The other thing that they did is
- 2 they talked about educating workers about the
- 3 hazards of the materials they work with. I think
- 4 the phrase they have in there has to do with a sane
- 5 appreciation of the risk. You need to educate
- 6 workers about the hazards of materials that they
- 7 are working with.
- MR. McCOY: For the record, I'm
- 9 going to mark copies, Exhibit 201.
- 10 Ed, I'm going to start our
- 11 Plaintiff's treatise things as 201, so this will be
- 12 equivalent of like an 80318.
- MR. CASMERE: Okay.
- 14 - -
- 15 (Whereupon, Exhibit Plaintiff-201,
- 16 Report on Effects of Asbestos Dust on the Lungs and
- 17 Dust Suppression in the Asbestos Industry by E. R.
- 18 A. Merewether, M.D., and C. W. Price, was marked
- 19 for identification.)
- 20 - -
- 21 BY MR. McCOY:
- 22 O. So this is Plaintiff's Exhibit 201. Is
- that a copy of the Merewether 1930 -- Merewether
- and Price 1930 publication you've been talking
- 25 about, Dr. Frank?

- 1 A. It is. And on Page 17, there's a paragraph
- No. 6 on preventative measures. And to use their
- 3 specific phrase: They also include the education
- 4 of the individual, as in other dangerous trades, to
- 5 a sane appreciation of the risk, and to his
- 6 personal responsibility in the prevention and
- 7 suppression of dust.
- 8 And this was a paper talking about
- 9 -- only about asbestos, so these were important
- 10 appreciations about the hazards and how to prevent
- 11 them as far back as 1930.
- MR. CASMERE: I just want to put an
- objection on the record that we are getting far
- 14 afield of qualifications at this point, and you're
- 15 way beyond the Rule 26 disclosure of this witness.
- 16 So to the extent you're going to continue to delve
- 17 into specific studies on state of the art, I would
- 18 like a continuing objection on Rule 26 and
- 19 disclosure.
- 20 MR. McCOY: Okay. I mean, you can
- 21 have a continuing objection on Rule 26.
- MR. CASMERE: Okay. Thank you.
- BY MR. McCOY:
- Q. Dr. Frank, just briefly, this publication
- is titled, Report on the Effects of Asbestos Dust

- on the Lungs and Dust Suppression in the Asbestos
- 2 Industry. And who are Merewether and Price?
- 3 A. Dr. Merewether was an occupational
- 4 physician, and Mr. Price was an industrial
- 5 hygienist.
- 6 Q. And this was a study out of Great Britain?
- 7 A. Yes, sir.
- 8 O. Published in the --
- 9 A. It was a publication from Her Mag -- His
- 10 Majesty's stationary office. It was an official
- 11 government publication.
- 12 Q. Okay. So going forward, then, other than
- what you've described, are there any other
- 14 significant professional and science organizations
- that you'd like to mention that you are or have
- been a part of relating to asbestos?
- 17 A. Yes. I mean, a lot of the organizations I
- 18 belong to look at a much wider series of topics
- 19 than asbestos, but the American Public Health
- 20 Association has dealt with it, I'm a member of
- 21 that. The American Thoracic Society has published
- 22 on it. The Collegium Ramazzini, which is an
- organization based in Italy, limited by its charter
- to 180 physicians and scientists, I was elected to
- fellowship back in 1983, they have often dealt with

- 1 asbestos issues. In fact, just in the past month
- or so, they came out with three new statements on
- the hazards of asbestos, and I've often spoken at
- 4 our annual meeting. There's an annual meeting held
- 5 in Italy on the subject of asbestos.
- 6 Q. Have you published in the field of
- 7 asbestos?
- 8 A. I have. If one looks at my CV, there are
- 9 about 200 publications and about half of them,
- 10 about 100 of them, have something to do with
- 11 asbestos.
- 12 Q. Have you been involved with work relating
- to the cause of a disease that would be one that
- might be asbestos related?
- 15 A. Yes, I mean, I've written about all the
- 16 diseases; asbestosis, other nonmalignant diseases,
- 17 as well as the various cancers that are caused by
- 18 asbestos.
- 19 Q. Would that include mesothelioma?
- 20 A. Yes, sir.
- 21 Q. Now, just based on your past experience,
- 22 most of your work when you do lawsuit consulting or
- 23 testifying has been for the attorneys that
- represent the persons injured?
- 25 A. The vast majority, yes, sir.

- 1 O. Okay. And you've had other cases with my
- firm before, right?
- 3 A. I have. I've been doing this work for more
- 4 than 35 years.
- 5 Q. What's your compensation per hour for your
- 6 work currently?
- 7 A. Right now, the fee charged for my time is
- 8 \$425 an hour.
- 9 Q. Where does that money go to?
- 10 A. Every penny goes here to Drexel University.
- 11 And I've worked at four universities in my career,
- and in every case, every penny has always gone to
- the university. I've never put a penny in my
- 14 pocket.
- That said, that doesn't mean I
- 16 haven't benefitted from it. I've been the
- department chair in three universities for over
- 18 30 years and the monies, either some or all of it,
- were allowed to be used by me for the betterment of
- the educational endeavor that being at a university
- 21 involves.
- So here, for example, most of that
- 23 money was paid out for extra faculty, extra
- 24 secretarial staff, research assistance for the
- junior faculty that I was responsible for. I also

- tend to do a fair amount of international work, and
- 2 over the years these funds have paid for me to be
- 3 able to travel internationally and teach and do
- 4 research in other countries as well, so I have
- 5 benefitted from it, but I've just never put a penny
- 6 in my own pocket in 35 years plus.
- 7 - -
- 8 (Whereupon, Exhibit Plaintiff-140,
- 9 What is Asbestos Document, was marked for
- 10 identification.)
- 11 - -
- 12 BY MR. McCOY:
- 13 Q. Doctor, I'm going to give you Exhibit
- No. 140, and we'll get a color copy of this, but do
- 15 you -- from the black and white, do you recognize
- 16 what those are?
- 17 A. Yes. These appear to be asbestos fibers in
- 18 various settings. The bottom right is an ora body
- 19 that would be asbestos. Just above it would be a
- 20 single -- a large fiber and smaller fibers. To the
- 21 left of the ora body picture would be what looks to
- 22 be fibers in tissue. So, yes, that's what these
- would be representing.
- Q. Okay. And what is asbestos?
- 25 A. Asbestos is a commercial term. It refers

- to six different fibers that are naturally found in 1 When I say "naturally found," they're not 2 made or manufactured. They come in two varieties; 3 the first group is called the amphiboles, they are 4 5 made up of five fiber types of the six, they are all chemically different one from another. They 6 have different colors; there's the brown, which is 7 the amosite, and the blue, which is the 8 9 crocidolite. They're characterized by being 10 straight and needle like in their appearance. the other form is called chrysotile. Chrysotile is 11 the so-called white asbestos. It's made up about 12 90 or 95 percent of all of the asbestos used in the 13 United States and in the world. It is called the 14 serpentine form because when you look at it under 15 low magnification, the fibers are wavy, so they 16 17 look like a worm or a snake, and, therefore, get 18 the name serpentine. So they are chemically each different from the other, but they are all fibers 19 and all have been shown to cause disease. 20 21 22 (Whereupon, Exhibit Plaintiff-141, Picture of Macrophages with Asbestos Fibers
- Picture of Macrophages with Asbestos Fiber present, with attachments, was marked for identification.)

- 1 - -
- Q. And Exhibit No. 141, again, that's the
- 3 black and white. We'll substitute a color.
- 4 Do you recognize that one?
- 5 A. Yes, this is a high powered view of what
- 6 looked to be macrophages probably in the lung with
- 7 asbestos fibers present.
- 8 Q. Okay. What happen -- first off, I want to
- 9 ask you: Are most asbestos fibers visible?
- 10 A. No, most of them are not visible to the
- 11 naked eye.
- 12 Q. Why is that?
- 13 A. Because they're extremely small. You can
- see very large bundles of fibers, as you see in
- some of those pictures, but, again, most of those
- 16 pictures are microscopic views. Even electron
- 17 microscopic views are needed to see some of them.
- 18 Ordinary light microscope views will not show you
- 19 all of the fibers.
- 20 Q. What happens to asbestos fibers that are
- 21 inhaled by a person?
- 22 A. Well, they tend to go into two directions.
- When you inhale a snootful of asbestos, some will
- go down in the lung and it will get moved out of
- 25 the lung in many, many different ways. Some of it

- gets brought back up through what's called the
- 2 mucociliary escalator. Some will be gobbled up by
- macrophages, they're sort of like Pacmen of the
- 4 lung. Some will be moved out to the pleura. It's
- 5 in the pleura where they will cause pleural
- 6 mesotheliomas. Some of them will go down through
- 7 the diaphragm that separates the chest cavity from
- 8 the abdominal cavity and the fibers will end up
- 9 through the diaphragm down in the abdominal cavity.
- 10 The other thing that happens is you
- 11 swallow asbestos, and when it gets into the GI
- 12 tract, it has the ability to cause cancer on its
- way down in the esophagus, the stomach, the
- 14 colorectal cancers, but one of my teachers at Mount
- 15 Sinai, Dr. Suzuki, had some beautiful pictures
- 16 showing how the asbestos fibers went from inside
- the bowel lumen -- think of it like a pipe -- and
- 18 the fibers would poke through the pipe and end up
- 19 coming out into the surrounding tissue. And if it
- does that in the intestines, which is where he
- 21 showed it, it will end up in the peritoneal cavity,
- the abdominal cavity, and that's where you get
- 23 peritoneal mesotheliomas from the presence of
- 24 asbestos.
- 25 Q. The abdominal cavity is also referred to as

- 1 the peritoneal cavity?
- 2 A. Yes, sir.
- Q. And what's the difference between the --
- 4 the chest cavity, is that referred to as the
- 5 pleura?
- 6 A. Well, the lining on the inside of the chest
- 7 cavity -- mesotheliomas, which is the disease that
- 8 is of interest to us today, arises in what we call
- 9 a lining or connective tissue. The inside of the
- 10 chest wall and the outer covering of the lung are
- mesothelial tissue, and they will be sites where
- mesotheliomas will occur. The covering around the
- 13 heart tissue called the pericardium, meaning around
- the heart, mesotheliomas can arise there. Those
- are pretty rare, but they do occur. They also --
- 16 about 90 percent arise in the pleura. About ten
- 17 percent arise in the peritoneal cavity because the
- 18 lining tissue of the abdominal wall and the
- 19 connective tissue between the tissue are
- 20 mesothelial tissues. And then in males, you will
- 21 also get mesotheliomas in the testicular area
- 22 because fibers anatomically can get down into the
- testes and the tissues surrounding the spermatic
- 24 cord can develop a mesothelioma as well.
- 25 Q. The organs within the abdominal or

- peritoneal cavity, what are those?
- 2 A. That's the standard sort of, you know,
- 3 belly organs; your stomach, your small intestine,
- 4 your large intestine, your liver, your gall
- 5 bladder, your spleen, those are all found inside
- 6 the peritoneal cavity.
- 7 Q. Does a person taste, feel, or smell
- 8 asbestos fibers when they enter someone's body?
- 9 A. We've already established you can't see
- them, you don't taste them, you don't feel them.
- 11 They have no warnings signs or, you know, methods
- to let you know that you're being exposed. You can
- be exposed to fairly high levels and not see them
- in the air. And if you see asbestos fibers or dust
- from a product, then the levels are really quite
- 16 high.
- 17 But, no, you have no warning sign
- 18 that you're being exposed. Not like, you know,
- 19 natural gas on your stove that they put, you know,
- 20 stinky stuff in it so it smells like rotten eggs,
- 21 you know the gas is on.
- 22 O. Of the types of asbestos fibers that you
- 23 mentioned, which of those will cause mesothelioma?
- 24 A. All of them. There's both animal data and
- 25 human data from populations exposed to the

- 1 individual amphiboles as well as chrysotile. They
- 2 will all cause mesothelioma.
- 3 Q. And what is mesothelioma?
- 4 A. It is a cancer of these lining tissues.
- 5 They come in two varieties; there's so-called
- 6 benign mesotheliomas, they're extraordinarily rare.
- 7 In my career, I've seen about three our four or
- 8 five of them through the records and such that I
- 9 reviewed. Most of them -- and they are still a
- 10 rare cancer. There's about 3,500 or 4,000 a year
- in the United States. And as I said, 90 percent of
- these mesotheliomas, the cancer of the lining
- tissue, will be in the chest, about ten percent in
- the abdomen, and about one percent or less in the
- 15 -- around heart or the testes.
- 16 Q. And about how many cases of mesothelioma
- 17 have you reviewed?
- 18 A. In the years that I've been doing the
- medical/legal work and my research work, both here
- 20 with Selikoff and my own work around the world and
- 21 patients that I've seen, 5,000, maybe as many as
- 22 10,000.
- Q. And what is the size range for these
- asbestos fibers that would be inhaled?
- 25 A. You can inhale fibers in a sense of any

- 1 size. Large ones will get filtered out in the nose
- and the hairs of the nose or the upper airway, even
- in your mouth. Fibers that are generally between
- 4 about two or three microns and 15 or 20 microns are
- 5 the ones that are going to make it down in the
- 6 lung. The ones that you swallow can be much larger
- 7 as well.
- 8 O. What's a micron?
- 9 A. A micron is one one-thousandth of a
- 10 millimeter. Extremely small, that's why you can't
- 11 see them with the naked eye.
- 12 Q. So how is it that mesothelioma is caused by
- 13 asbestos?
- 14 A. Well, exactly how it's caused, we don't
- understand. We don't know what the biological
- 16 mechanism is by which it alters the DNA of cells.
- 17 Cancer is a process whereby the DNA of individual
- 18 cells are altered. For some carcinogens,
- 19 cancer-causing agents, that is, we know what the
- 20 mechanism is, they knock off electrons or the
- 21 chemicals interdigitate among the structure of the
- 22 so-called double helix.
- For asbestos, we don't really know
- 24 what the mechanism is, but we do know without
- 25 question that the different types of asbestos will

- 1 cause a wide variety of cancers, including
- 2 mesothelioma.
- Q. When you say affects the DNA, that's a part
- 4 of the cancer process, right?
- 5 A. Yes. The cell has essentially two parts;
- there's the nucleus, the central part of the cell,
- 7 and inside that is a substance called DNA, which
- 8 carries the genetic material for that cell. Then
- 9 there's the outer part of the cell, everything but
- 10 the nucleus, and that has proteins and other
- chemicals in there, and it's in that part of the
- cell that the cell functions in the way that cell
- is supposed to. For example, if it's an
- 14 insulin-producing cell or a
- thyroid-hormone-producing cell, but it is the
- 16 nucleus that carries the information about cells
- being able to replicate and divide and make more of
- themselves. And when that process goes wrong,
- several things can happen; the cell can die, the
- 20 cell can become a mutated cell, but one of the
- 21 things that happens is that they become cancer
- cells and then grow uncontrollably.
- Q. When you say a cancer cell, is that a
- 24 mutated cell?
- 25 A. It's a mutated cell. The DNA gets altered

- 1 so that it now has a mutation, a change in the
- 2 structure from normal to something that's abnormal,
- 3 and when the cells reproduce, the cells just keep
- 4 growing, which is not how body cells are supposed
- 5 to function.
- Q. What does it take in terms of asbestos
- 7 fibers to cause a mutation?
- 8 A. We don't know how many fibers it takes to
- 9 cause one mutation or how many mutations are needed
- 10 for mesothelioma. People have studied that, let's
- 11 say, for lung cancer, and data came out a few years
- ago that there were 23,000 mutations that were
- needed for a normal cell to end up as a cancer
- 14 cell. So we suspect that for mesotheliomas, again,
- there need to be many -- we don't know how many --
- 16 many different mutations.
- 17 The difference between cigarettes
- and asbestos, and, again, we don't know the
- mechanism for the asbestos, is that when you inhale
- the hazardous materials of the carcinogens in
- 21 cigarettes, they are metabolized, the body gets rid
- of them. In fact, it is that very metabolic
- 23 process that causes certain chemicals to form which
- are highly -- have a high ability to cause
- 25 mutations.

- 1 Asbestos: A fiber may get near a
- 2 cell, may cause a mutation, we're not sure exactly
- how, and that fiber doesn't dissolve or go away, it
- 4 stays there sometimes inside the cell possibly for
- long periods of time, and the same fiber may cause
- 6 additional mutations or additional fibers that come
- 7 in or that the cell is exposed to may do it as
- 8 well. We just don't know.
- 9 O. So the development of the actual first
- 10 cancer cell, first mesothelioma cancer cell, is
- that a separate type of disease process or a single
- 12 unified process?
- 13 A. It's a single unified process of turning
- from a normal cell into a cancer cell. It's one
- 15 process. You end up with a malignancy. You may
- 16 have multiple steps in getting there, but it's the
- 17 same process we would see for any single kind of
- 18 cancer that would be formed from any kind of
- 19 cancer-causing exposure.
- 20 Q. So in terms of this being a single process,
- 21 are you referring to all the exposures ultimately
- 22 creating just a single disease process?
- MR. CASMERE: I'm going to object to
- the form, foundation, Rule 26. There's already
- rulings and orders on this and we're getting pretty

- far afield, so I'm going to assert those objections
- and a continuing objection to asking those types of
- 3 questions.
- 4 MR. McCOY: I don't think there's
- 5 been any rulings on this. This is not the each and
- 6 every exposure that was stipulated to.
- 7 BY MR. McCOY:
- 8 Q. But my question is, in terms of the
- 9 different exposures, do those create separate
- disease processes or is this a single process?
- MR. CASMERE: Will you give me the
- 12 continuing objection, so I don't have to keep
- interrupting the doctor?
- MR. McCOY: Sure.
- MR. CASMERE: Thank you.
- 16 THE WITNESS: It's one process that
- 17 may have multiple steps, but it's the same process
- and it's the same disease caused by -- you have to
- 19 say the cumulative exposure that one has because
- you don't know which fiber on which day may have
- initiated that process in the first place.
- 22 BY MR. McCOY:
- Q. What is the dose response relationship?
- 24 A. Very simply put, it means that as the dose
- or the exposure goes up, the likelihood of getting

- disease goes up. This goes back to the concept
- from the 1400s which said the dose makes the
- 3 poison. You can be exposed to small amounts and
- 4 not get ill, but as the amounts increase, the
- 5 likelihood of you getting ill increases. And all
- 6 of the asbestos-related diseases that we know about
- 7 are all what we call dose response, as are most of
- 8 the disease we see in man.
- 9 You know, we're getting into flu
- 10 season now. If somebody coughs on you once, you
- 11 may get the flu, if they cough on you ten times,
- 12 you're much more likely to. So it all goes back to
- this concept of the higher the dose, the higher the
- 14 likelihood of getting disease.
- 15 Q. How does the dose response concept relate
- 16 to the cumulative exposure? And talk about
- 17 asbestos.
- 18 A. It has to do with -- the cumulative
- 19 exposure or the cumulative dose comes from all of
- 20 the exposures that one has over time. Someone like
- 21 Mr. Suoja, who worked as an insulator for decades,
- 22 all of the exposures that he had day one, day two,
- day a thousand, day 10,000, all of those were part
- of his cumulative exposure, which at the end of the
- 25 day is what gave him his disease.

- 1 Q. That's the single disease process you're
- 2 talking about?
- 3 A. Yes, sir.
- 4 Q. Now, I would like to ask you one other term
- 5 here, the term latency, does that have a meaning
- 6 with asbestos --
- 7 A. It does.
- 8 O. -- mesothelioma?
- 9 A. Latency refers, again, to any medical issue
- 10 that a person might have. If you're in a chemical
- 11 plant and a valve breaks and you get chlorine gas
- 12 all over you and you start coughing, the latency,
- the period from exposure to the onset of disease,
- is literally a matter of seconds.
- 15 For mesotheliomas following exposure
- 16 to asbestos, they begin to occur about ten years
- 17 after first exposure. There are reported cases of
- 18 lesser amounts of time, but I generally use about
- 19 ten years. And then the risk of getting that
- 20 disease lasts throughout one's lifetime. I've seen
- 21 mesotheliomas in 90-year-olds, so you never outlive
- 22 your risk of getting a mesothelioma if you've had
- exposure to asbestos.
- Q. Now, from the time that we have the first
- 25 cancer cell, how long does it take to grow a

- 1 mesothelioma that would actually cover a lot of the
- 2 organs in the abdominal cavity?
- 3 A. We don't really know. Literally earlier
- 4 today I looked at another case of a peritoneal
- 5 mesothelioma where the first symptoms were in 2010
- and the disease wasn't diagnosed until 2015, so it
- 7 obviously takes years.
- 8 We know for lung cancer, which is
- 9 the best study of cancers for these things, we know
- 10 something about what's called the doubling time of
- 11 cells. One cell becoming two cells, two cells
- becoming four cells and so forth. And for lung
- 13 cancer, when we make a clinical diagnosis of the
- lung cancer, on average, it's probably been ten
- 15 years that that first lung cancer cell was there.
- 16 We don't know the same -- in exactly the same way
- for mesothelioma, but we know it's probably some
- 18 years until that first cell becomes clinically
- 19 apparent and the tissue diagnosis is made.
- 20 Q. Do all persons breathing asbestos in large
- 21 quantities get mesothelioma?
- 22 A. No, sir.
- 23 Q. Why not?
- 24 A. Well, there's many reasons for that. They
- 25 may get another disease, they may die of something

- 1 else. There's also the concept of individual
- 2 susceptibility.
- 3 Mr. Suoja was a unionized asbestos
- 4 worker. He -- well, insulators like him that were
- 5 in the union, half of them die of an
- 6 asbestos-related disease of one kind or another,
- 7 but it's about ten percent that die of
- 8 mesotheliomas, about 20 percent that die of lung
- 9 cancer, ten percent that die of asbestosis, and the
- 10 other ten percent die of other cancers related to
- asbestos. So it's probably factors like -- and
- mesothelioma, I should add, is the last of these
- many diseases to show up on average, so you may die
- of a lung cancer before you lived long enough to
- 15 get your mesothelioma, on average. But also things
- 16 like your immune system, your diet, your basic
- 17 genetics may all be factors with regard to why some
- 18 people get mesotheliomas and some don't.
- 19 Q. From an occupational standpoint, where do
- the insulator fall as far as the likelihood that
- that group would get peritoneal mesothelioma?
- 22 A. There is no group that I'm aware of -- and
- 23 I've studied many, many different trades and
- 24 exposures -- there's no group I'm aware of who as a
- group has more exposure to asbestos than

- insulators. Miners and millers have less exposure,
- 2 other trades that have high incidence of
- 3 asbestos-related disease, plumbers and pipefitters,
- 4 sheet metal workers, carpenters, electricians, and
- 5 others, none of them have had as much exposure as
- 6 insulators and none of those groups show as high a
- 7 rate of disease as insulators. So they are sort of
- 8 at the top of the heap in terms of exposure and,
- 9 therefore, they're at top of the heap for the
- 10 likelihood of getting a mesothelioma. And the
- 11 background rate for mesothelioma in the general
- 12 population is generally thought of as about one in
- a million, and among insulators, the rate of
- mesothelioma including peritoneal mesotheliomas,
- but all of them together, is more like one in ten,
- 16 not one in a million.
- 17 Q. So what is the minimum exposure or dose
- that has been reported to cause mesothelioma?
- 19 MR. CASMERE: I'm going to object.
- 20 Same objections as before.
- 21 THE WITNESS: In both humans and
- animals, as little as one day of exposure or less
- than a full working day has been shown to give rise
- to people developing mesotheliomas. The animal
- experiments were done by Dr. Wagner, W-A-G-N-E-R.

- 1 Dr. Wagner in 19 -- the 1970s showed that as little
- 2 as one day of exposure would give rise to
- 3 mesotheliomas. He also showed that there was a
- 4 dose response relationship, and he also showed that
- 5 all the different fiber types could do it in some
- 6 very elegant animal experiments.
- 7 Dr. Greenberg in the British Journal
- 8 of Industrial Medicine published a paper in 1974 in
- 9 which he reported short-term exposures giving rise
- 10 to mesothelioma, and the shortest exposure he
- reported on was a gentleman who cut asbestos boards
- in his backyard for one day to build some sheds in
- his back yard and about 30 years later came down
- 14 with a mesothelioma. There's a report out of
- 15 Australia of someone with six hours of exposure,
- 16 and there are other -- many, many reports of people
- 17 with -- and I've seen cases with just months of
- 18 exposure. College students who had a summer job
- working construction and 15, 20, 30 years later
- 20 came down with mesotheliomas from one summer's
- 21 work.
- 22 Some of these are talked about in an
- 23 affidavit I provided in this case. It's my
- 24 affidavit of June 21, 2012, and I think one of the
- 25 paragraphs there talks about.

- 1 BY MR. McCOY:
- 2 Q. Paragraph 24, I believe.
- 3 A. I think that's what it is. That's what I
- 4 was turning to. It talks about these short-term
- 5 exposures that people have.
- 6 Yeah, Paragraph 24 that begins on
- 7 Page 12 of 28 and continues over to Page 14, talks
- 8 about many articles talking about dose response,
- 9 low-level exposure, the Greenberg and Davies,
- 10 D-A-V-I-E-S, paper is listed there, among many
- others.
- 12 Q. Let's go to that Greenberg and Davies paper
- 13 for a moment.
- MR. McCOY: And this will be
- 15 Plaintiff's Exhibit 202, also being offered as an
- 16 80318 publication.
- 17 MR. CASMERE: I will re-assert my
- Rule 26 objections to all these and the affidavit.
- MR. McCOY: Sure.
- 20 - -
- 21 (Whereupon, Exhibit Plaintiff-202,
- Mesothelioma Register 1967-68, Authored by Morris
- Greenberg and T. A. Lloyd Davies Article, was
- 24 marked for identification.)
- 25 - -

- 1 THE WITNESS: If you turn in this
- 2 paper to --
- 3 BY MR. McCOY:
- 4 Q. Can we first just briefly identify this for
- 5 the record?
- 6 A. Oh, sure.
- 7 Q. So this is published in what journal?
- 8 A. The British Journal of Industrial Medicine,
- 9 Volume 31. It begins on Page 91, 1974.
- 10 Q. And the authors are?
- 11 A. Morris Greenberg and Lloyd Davies.
- 12 Q. And what are their positions?
- 13 A. They were employed by the British medical
- 14 advisory service.
- 15 Q. Are these both medical doctors?
- 16 A. I don't know about Dr. Davies. I don't
- 17 know who he is. I do know Dr. Greenberg quite
- 18 well. He's been a member of the Collegium
- 19 Ramazzini, and Morris and I go back to my days at
- 20 Mount Sinai back in the '70s or '80s.
- 21 Q. This article is called Mesothelioma
- Register 1967-68. What does that refer to?
- 23 A. It refers to the fact that in Great
- 24 Britain, they kept a registry in those years where
- 25 they had over 400 reports of mesotheliomas and they

- 1 then talked about various aspects of this. And if
- one turns to Table 6 on Page 96, what's interesting
- 3 about that is how, again, it speaks to sort of how
- 4 little exposure might be needed. These are all
- 5 non-occupational exposures, these are not people
- 6 who worked regularly with asbestos. And if you
- 7 look at the very last one in that table, Case No.
- 8 EW 68/80, Duration of Exposure, one day, sawing up
- 9 asbestos cement sheets to construct two sheds. The
- 10 one just above that was three years of intermittent
- 11 exposure to a brother's overalls contaminated with
- 12 asbestos. So these and the other cases in that
- 13 list and throughout the article speaks in part to
- 14 what you were asking me of how little asbestos does
- it take, it doesn't take much.
- 16 Q. And Table 6 is titled. Non-Occupational
- 17 Asbestos Exposure Histories Obtained in Cases of
- 18 Mesothelioma?
- 19 A. Yes, sir.
- Q. What does that mean, non-occupational?
- 21 A. These are exposures that didn't occur as
- 22 part of somebody's employment or at a workplace.
- They were people who worked with asbestos at home,
- they lived near an asbestos factory. They've got
- one, two... six people who were resident near

- 1 either a shipyard or an asbestos factory, a mile or
- less, less than a half a mile, less than a quarter
- of a mile. Another one a mile and a quarter from
- 4 an asbestos factory and a couple more above that.
- 5 Somebody worked next door to an asbestos factory.
- 6 So we have many different kinds of exposure.
- 7 Maybe I could just explain that very
- 8 briefly.
- 9 Q. I was just going to ask you -- maybe with a
- 10 question, I was going to ask for my help [sic].
- How does a non-occupational exposure
- relate to assessing someone who's got occupational
- exposure of an insulator?
- 14 A. Well, again, as I said, nobody has more
- 15 exposure than insulators. So any other exposure,
- 16 including non-occupational ones, would be less.
- 17 Sometimes non-occupational exposures can rival what
- happens in some workplaces, but not what would
- 19 happen with an insulator. And so you have direct
- 20 exposure; that is, where you handle asbestos
- 21 material. If you work near someone else who's
- 22 handling it, that's called bystander exposure. If
- you bring it home and you contaminate the
- household, we call that either household or
- 25 familial exposure. And then if you live near a

- 1 facility that makes use of asbestos, and we know of
- 2 many such situations where disease can occur,
- 3 that's called neighborhood exposure. All of those
- 4 would be expected to be less than what an insulator
- 5 would get day in and day out working with
- 6 insulation materials.
- 7 Q. Okay. And there's another article that I
- 8 want to ask you to include in terms of what we're
- 9 actually doing for specific publication here.
- 10 A. Dr. Hillerdal's article.
- 11 Q. Right. Let me find that one.
- MR. McCOY: This will be Plaintiff's
- 13 Exhibit 203. I'll give you a copy of that,
- 14 Mr. Casmere.
- MR. CASMERE: Thank you. I'll
- 16 re-assert my Rule 26 objections.
- 17 - -
- 18 (Whereupon, Exhibit Plaintiff-203,
- 19 Mesothelioma: Cases Associated with
- 20 Non-occupational and Low Dose Exposure Article
- 21 authored by Gunnar Hillerdal, was marked for
- 22 identification.)
- 23 - -
- 24 BY MR. McCOY:
- 25 Q. So Dr. Hillerdal's article is --

- 1 A. Well, it's called, Mesothelioma: Case:
- 2 Associated with Non-occupational and Low Dose
- 3 Exposures from the journal, Occupational and
- 4 Environmental Medicine, Volume 56, Page 505, 1999.
- 5 And Dr. Hillerdal reports on his review of the
- 6 literature as to this phenomena of non-occupational
- 7 and low dose exposure. And I think the one
- 8 sentence here -- I'll read it from the abstract, it
- 9 sums this all up: There is no evidence of a
- 10 threshold level below which there is no risk of
- 11 mesothelioma.
- 12 So this is consistent with what many
- organizations have written as recently as 2014.
- 14 OSHA, the Occupational Safety and Health
- 15 Administration, came out again with a statement
- 16 that says there is no known safe level of exposure
- 17 to asbestos. So what this implies is even very,
- 18 very low levels of exposure -- and we're not
- dealing with that with an insulator -- can give
- 20 rise to this disease.
- 21 Q. Directing your attention there to Page 507,
- it has a statement in there about levels of
- 23 exposure. Do you see that section there?
- 24 A. I do, on the right side.
- 25 Q. Yeah. Okay. Is that a more detailed

- 1 explanation of the statement that you just read?
- 2 A. Yes.
- Q. Okay. I'd like to -- let's go -- can we go
- 4 ahead and publish this one?
- I'm going to just have this read
- 6 into the record then. Levels -- go ahead at the
- 7 "levels of exposure."
- 8 A. Levels of exposure: Although many authors
- 9 write about low level exposure to asbestos, there
- 10 is rarely a definition of this term. In fact, in
- 11 many articles low level exposure seems to be
- 12 synonymous to non-occupational exposure, which as
- described later, is certainly not true in many
- 14 cases. Occupational as well as non-occupational
- exposure can be anything from very heavy to very
- 16 low.
- 17 Q. And continuing on, the next section is
- 18 titled, Occupational Exposure to Asbestos in the
- 19 article.
- 20 A. Yes. It must be realized that occupational
- 21 exposure to asbestos occurs or has occurred not
- 22 only in the classic industries, such as asbestos
- 23 mines and factories, shipyards, insulating
- business, asbestos cement industry, building and
- construction, et cetera, but also in very many

- 1 other occupations and trades. He goes on to list
- 2 some others. And I've seen workers from all of
- 3 those settings that he mentions have mesotheliomas.
- 4 Q. Go ahead and read that.
- 5 MR. CASMERE: Dr. Frank is a
- 6 wonderful reader, but I don't think he needs to
- 7 reread what is in this publication into the record
- 8 if you've already attached it as an exhibit. I
- 9 think that's a waste of time.
- 10 MR. McCOY: Well, my understanding
- from Judge Crocker, Ed, and this is why I'm doing
- it, is that he's going to treat only the parts that
- 13 we call to his attention as the evidence, that's
- 14 what I understood him to say. So that's why I'm
- having read in the parts that were published just
- 16 like it might to a jury.
- 17 BY MR. McCOY:
- 18 Q. Go ahead.
- 19 A. Examples are pulp and paper industry, oil
- 20 refineries, electrical industry, jewelry workers,
- 21 sugar refineries, and cigarettes filter workers.
- 22 Seamen and fishermen can have been exposed to
- asbestos used as insulation in their boats. In the
- 24 reprocessed textile industry, bags heavily
- contaminated with asbestos could be reused for

- 1 various other purposes; for instance, covering
- 2 heaps of rags in an Italian investigation of such
- an industry, mesotheliomas and lung cancer were
- found to be fairly common among rag sorters.
- 5 So this speaks to the fact that you
- don't need much exposure to get the disease.
- 7 Q. Okay. And then what else does he say in
- 8 that last paragraph?
- 9 A. Given the extensive use of the mineral,
- 10 many people have been occupationally exposed to
- 11 asbestos. This exposure can only have been -- can
- have been only brief but perhaps intense during the
- short -- that short period. In many or most
- instances, the workers have no idea of the exposure
- and it can be impossible or almost impossible to
- 16 elucidate it. Also, the level of exposure is often
- very difficult to estimate, should the information
- 18 be available.
- 19 Q. This journal in which it's published,
- Occupational and Environmental Medicine, that's a
- 21 peer-reviewed journal?
- 22 A. Oh, absolutely. It's one of the leading
- 23 British journals. It's the successor to the
- 24 British Journal of Industrial Medicine, now called
- Occupational and Environmental Medicine, one of the

- 1 leading journals in our field.
- 2 Q. Continuing on to other actual literature
- 3 and publications that support this statement about
- 4 what you mean about the very low level in which
- 5 asbestos disease exposures can -- very little level
- of exposures that can cause asbestos disease, what
- 7 other publications have you cited?
- 8 A. Well, again, they're listed in great detail
- 9 on Paragraph 24. There's Newhouse's paper from --
- 10 well, this one we cite here is 1993, she has an
- 11 earlier one -- oh, it's cited there too,
- 12 September 1965. She talked about family members
- and residence near asbestos factories getting
- 14 disease. Lee from Australia talks about exposures
- from the mines in Australia and how, again,
- 16 household exposure and living near the mines can be
- 17 a problem. So there are other papers that address
- this issue. Miller's paper on mesothelioma and
- 19 household members of asbestos exposed workers. So
- we know, for example, that the family members of
- 21 insulators get disease.
- 22 My only experience with that was a
- group of 36 insulators that I examined when I was
- 24 at the University of Kentucky. Virtually all of
- them had evidence of disease, but a number of them

- 1 had brought their wives with them and we decided to
- 2 x-ray them as well, and I remember five of the
- 3 wives who, obviously, never worked as insulators,
- 4 they also ended up with disease.
- 5 Q. Okay. I'd like to ask another question
- 6 leading up to Mr. Suoja specifically here, but
- 7 what's bystander exposure in the context of
- 8 insulation work?
- 9 A. Well, if you've got a group of insulators,
- 10 and my understanding is Mr. Suoja was part of a
- crew of maybe 20 insulators working, which was
- 12 pretty much the norm, you, yourself, are working
- with the material, but the guys around you are
- working with it as well, and so you're getting
- exposed not only from your handling of the asbestos
- 16 materials but the dust they create. Also, it
- 17 refers to, if you're not an insulator, you may be
- 18 working high up on a scaffold putting insulation on
- a pipe, the guys below you are doing other work,
- there may be pipefitters or electricians or
- carpenters working below you, and they will be
- 22 exposed to whatever drifts down from up above, and
- those are called bystanders because they're not
- 24 working with asbestos necessarily.
- 25 Q. All right. So at the request of my law

- firm, have you reviewed medical records of Oswald
- 2 Suoja?
- 3 A. Yes.
- Q. Okay. And you had prepared a report based
- 5 on your review of the records and information
- 6 provided?
- 7 A. I did.
- 8 Q. Before we get into your findings on
- 9 Mr. Suoja, what does the term "attribution" mean
- 10 when you're talking about asbestos disease?
- 11 A. I think you can mean a number of things.
- 12 You know, if someone has a certain disease, can it
- be said to be caused by asbestos, and then
- 14 attribution could also refer to which product or
- products to which someone was exposed and were
- 16 those a cause of the disease as well.
- 17 Q. Okay. So in the case of Mr. Suoja, have
- 18 you been asked to attribute the cause of his
- 19 mesothelioma?
- 20 A. Yes.
- 21 Q. And that's what my firm asked you to do?
- 22 A. That's what you asked me to do. My report
- of August 7, 2012 addresses that, and you asked me
- 24 to render my judgment regarding any evidence of an
- asbestos-related disease, and if I had found that

- 1 by implication to say what caused it. And the
- 2 third from the last paragraph says that it is my
- 3 opinion held with a reasonable degree of medical
- 4 certainty that Mr. Suoja, if I'm pro -- developed
- 5 and then died from a malignant peritoneal
- 6 mesothelioma that was caused by his exposures to
- 7 asbestos. The cumulative exposures he had to
- 8 asbestos from any and all products containing any
- 9 and all fiber types would have contributed to his
- 10 developing this cancer. Pretty straightforward.
- MR. CASMERE: And I would re-assert
- my objections and the rulings of the Court on these
- things.
- 14 BY MR. McCOY:
- 15 Q. How do you go about attributing the cause
- of the peritoneal mesothelioma in Mr. Suoja?
- 17 MR. CASMERE: Same objection. Will
- 18 you give me a continuing objection to these lines
- 19 of questions about attribution and cause?
- MR. McCOY: Sure.
- 21 THE WITNESS: When it comes to the
- disease mesothelioma and asbestos, it's actually
- quite an easy attribution to make. For example, if
- someone has a lung cancer, I've written about lung
- 25 cancer, there's literally dozens of different

- things that will do it, so one has to go through
- what's typically a sort of differential diagnosis.
- First, you have to be sure what the disease is.
- 4 There was plenty of evidence that Mr. Suoja had, in
- fact, developed a mesothelioma; that it was a
- 6 peritoneal mesothelioma. And then one looks for
- 7 what are the causes of that disease.
- 8 Here in North America, the only
- 9 known cause of mesothelioma would be exposure to
- 10 asbestos. The reason I use the geography is that
- there are other parts of the world with other
- 12 fibers that are not asbestos. If you're in the
- central Anatolian plane of Turkey or on the
- 14 northwest slope of Mount Etna where they mined
- 15 fluoro-edenite, those will cause mesothelioma as
- 16 well. In Turkey, it was something called fibrous
- 17 zeolites, but, otherwise, in this country, the only
- 18 truly recognized cause is exposure to asbestos.
- 19 And clearly, as an insulator, that is an exposure
- that Mr. Suoja would have had, so the attribution
- of his mesothelioma to asbestos is pretty
- 22 straightforward.
- BY MR. McCOY:
- 24 Q. The process by which you described, is that
- what you would call your methodology of attributing

- 1 causation?
- 2 A. Certainly, the methodology that a doctor
- 3 uses in every case. Somebody comes with a problem,
- 4 you first of all delineate what the problem is, so
- 5 when I'm sent a file, I delineate what the problem
- 6 is. In this case, the asbestos disease that I
- found, which is what I was asked to do, if there
- 8 was one, was to find that he had a mesothelioma,
- 9 and then one considers what the cause was.
- 10 We know from a hundred years of
- 11 literature that mesotheliomas are caused by -- and
- other diseases are caused by asbestos. So we have,
- again, causation, if you will, and then the
- question is in Mr. Suoja, did his mesothelioma come
- from exposure to asbestos. And, again, having
- 16 worked with Dr. Selikoff in his study of 17,800
- 17 asbestos insulators, having examined insulators for
- 18 many, many years, specifically I can say in my
- opinion, Mr. Suoja's exposure to asbestos as a
- 20 insulator working at various construction sites was
- 21 the cause of his mesothelioma.
- 22 O. Okay. And I believe my law firm had
- originally provided an incorrect statement where we
- 24 said that it was 1951 when he began as an insulator
- and I had advised you that it actually was 1943,

- 1 right?
- 2 A. Correct.
- Q. Okay. And that his work history was that
- 4 of an insulator and not that of a pipefitter, which
- 5 was another mistake in the document that my firm
- 6 had given you three or four years ago, right?
- 7 A. Correct.
- 8 Q. And so does that have any bearing in
- 9 changing your original opinion in your report?
- 10 A. Of course not, no.
- 11 Q. Is there a particular piece of literature
- that you would point to in terms of how this
- methodology of attributing based on lifetime dose
- 14 --
- 15 A. Well, there are --
- 16 Q. -- has been recognized?
- 17 A. Yes. I mean, there are various things that
- one can use. There's -- intuitively, one uses the
- 19 so-called Bradford Hill criteria. Sir Austin
- 20 Bradford Hill said that there were a number of
- 21 points that allowed one to look at the issue of
- 22 causation.
- Specifically for asbestos, there's
- 24 something called the Helsinki Criteria document,
- and in that Helsinki report there is a series of

- 1 comments that describe the methodology by which you
- 2 can link exposure to asbestos --
- Q. Let's take those one at a time.
- 4 A. -- to disease.
- 5 Q. Okay. Let's take those one at a time. The
- 6 Bradford Hill criteria, is that something you
- 7 considered in your assessment of the causation of
- 8 Mr. Suoja's mesothelioma?
- 9 A. Yes, sir.
- 10 Q. How does that play into your assessment?
- 11 A. Well, among the criteria is, is there
- 12 evidence that the exposure occurred before the
- disease? Clearly, that's true. Are there other
- settings with this material that caused the
- 15 disease? Yes.
- I forget what all nine criteria are,
- but he meets, if not all nine of them, most of
- 18 them, and that's what Bradford Hill said was sort
- of required to be confident about an assessment.
- 20 Q. What's the significance of Bradford Hill in
- 21 terms of this type of determination?
- 22 A. It allows one to make use of what we know
- from epidemiology and other studies to say that
- 24 something is actually causative. Asbestos causing
- 25 mesothelioma, vinyl chloride causing angiosarcoma

- of the liver, cigarette smoking causing lung
- 2 cancer, there are these considerations that one
- 3 brings to bear, which I certainly do in every case
- 4 with asbestos, as a possible cause of disease.
- 5 MR. CASMERE: I'm going to object.
- 6 Are you talking about Bradford Hill in the context
- 7 of general causation or specific causation?
- 8 THE WITNESS: General causation.
- 9 MR. CASMERE: Okay. I don't think
- 10 that was clear from the question, but that's an
- 11 epidemiological analysis?
- 12 THE WITNESS: Yes.
- MR. CASMERE: Right.
- MR. McCOY: That was going to be my
- 15 question.
- 16 MR. CASMERE: I was getting to it
- 17 faster, Bob.
- MR. McCOY: Right. Okay.
- 19 BY MR. McCOY:
- 20 Q. Well, I'll stand by that question
- 21 Mr. Casmere just asked, so that's an
- 22 epidemiological principle. Okay?
- Now, let's go, then, to the second
- thing you mentioned, and I've got a copy here,
- which I'll mark as exhibit -- I think we're at 204,

- 1 right?
- 2 A. 204.
- 3 Q. Okay.
- 4 - -
- 5 (Whereupon, Exhibit Plaintiff-204,
- 6 Consensus Report, Asbestos, Asbestosis, and Cancer:
- 7 The Helsinki Criteria for Diagnosis and
- 8 Attribution, was marked for identification.)
- 9 - -
- 10 BY MR. McCOY:
- 11 Q. Okay. So Plaintiff's Exhibit 204, would
- 12 you identify that for us?
- 13 A. This is a write-up or a summary of the
- 14 Helsinki Criteria document of 1997. This was a
- 15 synopsis put together and published in the
- 16 Scandinavian Journal of Work and Environmental
- 17 Health, Volume 23, Page 311 is where it starts,
- 18 1997. And it's --
- 19 Q. What's the title of it?
- 20 A. Asbestos, Asbestosis, and Cancer: The
- 21 Helsinki Criteria for Diagnosis and Attribution.
- Q. Okay. So let me just have this published
- again into the record a few portions of this just
- 24 to explain what this was about.
- MR. CASMERE: I'll have the same

- 1 Rule 26 objections. Go ahead.
- 2 BY MR. McCOY:
- 3 Q. Maybe just that first paragraph.
- 4 A. The International Expert Meeting on
- 5 Asbestos, Asbestosis, and Cancer was convened in
- 6 Helsinki on 20 through 22 January 1997 to discuss
- 7 disorders of the lung and pleura in association
- 8 with asbestos and to agree upon state-of-the-art
- 9 criteria for their diagnosis and attribution with
- 10 respect to asbestos. The group decided to name
- 11 this document The Helsinki Criteria.
- 12 Q. Okay. And then the third paragraph there.
- 13 A. The meeting was attended by 19 participants
- 14 from eight countries not producing asbestos. The
- chairmen were Professor Douglas W. Henderson, it
- 16 gives his home base. And Professor Jorma,
- J-O-R-M-A, Rantanen, R-A-N-T-A-N-E-N, of the
- 18 Finnish Institute of Occupational Health Finland.
- 19 The group was a multidisciplinary gathering of
- 20 pathologists, radiologists, occupational and
- 21 pulmonary physicians, epidemiologists,
- toxicologists, industrial hygienists, and the
- 23 clinical and laboratory scientists specializing in
- 24 tissue fiber analysis. Collectively, the group had
- published over 1,000 articles on asbestos and

- 1 associated disorders.
- Q. That's good right there, I think. Okay.
- Now, the next paragraph or the next
- 4 heading says, General Considerations.
- 5 A. Yes.
- 6 Q. Okay. Go ahead.
- 7 A. Occupational exposures to asbestos dust
- 8 have been widespread in all industrial countries
- 9 and continue as a consequence of in-place
- 10 materials. In detailed interviews, about
- 20 percent to 40 percent of adult men report some
- past occupations and jobs that may have entailed
- asbestos exposure at work. In Western Europe,
- North America, Japan, and Australia, the use of
- asbestos peaked in the 1970s, and currently about
- 16 10,000 mesotheliomas and 20,000 asbestos-induced
- 17 lung cancers are estimated to occur annually in the
- population of approximately 800 million people.
- 19 Q. And continuing on.
- 20 A. In general, reliable work histories provide
- 21 the most practical and useful measure of
- 22 occupational asbestos exposure. Using structured
- 23 questionnaires and checklists, trained interviewers
- can identify persons who have a work history
- compatible with significant asbestos exposure.

- 1 Dust measurements can be used in the estimation of
- 2 past fiber levels at typical workplaces and in the
- 3 use of asbestos-containing materials. A cumulative
- fiber dose, as expressed in fiber years per cubic
- 5 centimeter, is an important parameter of asbestos
- 6 exposure.
- 7 Q. Okay. And on the -- let me go back on one
- 8 part of this. In that fourth paragraph, it talks
- 9 about who's supporting and funding this, right?
- 10 A. The meeting was scientifically supported by
- leading institutions in the field of asbestos
- 12 research, and it was funded by the Ministry of
- Social Affairs and Health and the Finnish Work
- 14 Environment Fund.
- 15 Q. All right. Now, is there a portion of this
- 16 document, then, that relates to the -- specifically
- 17 to mesothelioma?
- 18 A. Yes.
- 19 Q. Okay. And that begins on Page 313, right?
- 20 A. Yes.
- 21 Q. So is a portion of this, then, relating to
- 22 the attribution question that we had --
- 23 A. Yes.
- 24 Q. -- posed for you for Mr. Suoja?
- 25 A. Yes.

- 1 Q. Okay.
- 2 A. And it reads as follows: The following
- 3 points needs to be considered in the assessment of
- 4 occupational etiology. The great majority of
- 5 mesotheliomas are due to asbestos exposure.
- 6 Mesothelioma can occur in cases with low asbestos
- 7 exposure; however, very low background
- 8 environmental exposures carry only an extremely low
- 9 risk. About 80 percent of mesothelioma patients
- 10 have had some occupational exposures to asbestos
- and, therefore, a careful occupational and
- 12 environmental history should be taken. An
- occupational history of brief or low level exposure
- should be considered sufficient for mesothelioma to
- be designated as occupationally related. A minimum
- 16 of ten years from the first exposure is required to
- 17 attribute the mesothelioma to asbestos exposure,
- 18 though in most cases, the latency interval is
- longer, e.g., on the order of 30 to 40 years. And
- the last statement is, Smoking has no influence on
- the risk of mesothelioma.
- 22 O. And Mr. Suoja was a non-smoker?
- 23 A. That's my understanding.
- 24 Q. Okay. So in terms of your assessment, the
- 25 attribution for Mr. Suoja of the causation of the

- 1 mesothelioma, are these Helsinki Criteria points
- 2 something that you follow?
- 3 A. Yes.
- Q. This is a 1997 publication. Have there
- 5 been any updates on the Helsinki Criteria?
- 6 A. There was a new version in 2014, and these
- 7 points were not changed.
- 8 THE WITNESS: Do you need a break?
- 9 THE COURT REPORTER: I'm going to
- 10 wait until about ten of because I need to feed my
- 11 meter.
- 12 THE WITNESS: Okay.
- THE COURT REPORTER: So, thank you.
- 14 About 20 minutes, though.
- MR. McCOY: Yeah. We're moving
- along pretty good. We're going pretty fast now.
- 17 BY MR. McCOY:
- 18 Q. So are you familiar with the publication by
- 19 Welch in 2007?
- 20 A. Yes, I'm a signatory to it. It's an amicus
- 21 brief that was then published in the International
- Journal of Occupational and Environmental Health.
- MR. CASMERE: Same Rule 26
- 24 objection.
- 25 BY MR. McCOY:

- 1 Q. So that's a peer-reviewed publication?
- 2 A. Yes, sir.
- 3 Q. And you say it was an amicus brief meeting,
- 4 something that was also part of a courtroom file?
- 5 A. Yes.
- 6 Q. Okay. And I'm referring, I guess, to this
- 7 Paragraph 61 of your affidavit that was filed in
- 8 September 19th of 2014 in this case.
- 9 What does that say in terms of that
- 10 publication about the considerations in attribution
- 11 compared to what we've already talked about for
- 12 Helsinki or Bradford Hill?
- 13 A. They're very similar. As outlined in
- 14 Paragraph 61, it says, Examining the question of
- causation of disease in an individual generally
- 16 involves four questions: One, was the individual
- 17 exposed to a toxic agent; two, does the agent cause
- 18 the disease present in the individual; three, was
- 19 the individual exposed to this substance at a level
- where disease has occurred in other settings; and,
- 21 four, have other competing explanations for the
- disease been excluded.
- That was, again, my method, if you
- 24 will, in thinking about Mr. Suoja's case, and he
- does meet all those criteria. He was exposed to

- 1 asbestos; it causes mesothelioma; he was exposed at
- 2 a level where disease has been seen in other
- 3 settings, literally all over the world; and there
- 4 was no competing explanation for his disease.
- 5 Q. Why is just knowing that Oswald Suoja was a
- 6 career insulation worker would be sufficient
- 7 information about the dose for this attribution
- 8 question?
- 9 A. Because I know something about that group
- of workers. As I said, the main group that Dr.
- 11 Selikoff studied was 17,800 insulators. We
- regularly examined them in and around New York
- 13 City, literally all over the country and Canada
- doing various kinds of research in the years that I
- was with him. I have talked to many insulators,
- 16 I've gotten histories from many of them. And as I
- 17 said earlier in my testimony, there's no group with
- 18 a higher level of exposure to asbestos than these
- 19 individuals.
- 20 Q. About how many years did you spend with
- 21 Dr. Selikoff on this research that concerned
- 22 insulators?
- 23 A. I started with him as a first year medical
- 24 student, so my level of responsibility got greater
- when I was a first and second year student; I could

- do paperwork, but by the time I was a third year
- 2 clinical student, he would let me do the physical
- 3 examinations.
- When I finished all of my training,
- 5 I joined the faculty at Mount Sinai in his unit and
- 6 there were times that I'd be in charge of a field
- 7 team of 50 people going out and examining asbestos
- 8 workers. We'd read x-rays in the field to make
- 9 sure we didn't find an acute disease that was
- 10 present that the worker didn't know about. We used
- 11 to regulate pick up unknown lung cancers, for
- 12 example.
- 13 And I left Mount Sinai in 1983, but
- kept in touch with him until the time of his death,
- until he passed away in 1992, so it was certainly
- 16 actively between 1968 and 1983 that I was at Mount
- 17 Sinai except for my time at the NIH. And even
- then, I would be given permission to leave and go
- 19 out on asbestos-related studies.
- 20 Q. And Dr. Selikoff's research had already
- 21 started before you got there in '68, right?
- 22 A. Absolutely. He had published some critical
- work in the early 1960s. Then in '68, the year
- that I joined him, he had published a very
- important paper that year as well.

- 1 Q. So your experience, your personal
- 2 experience includes '68 to '83, and you also had
- 3 the benefit of knowing about his research that was
- 4 done earlier?
- 5 A. Yes, sir.
- 6 Q. To know if a person had a sufficient
- 7 dose -- let's talk about an insulator.
- To know if an insulator had a
- 9 sufficient dose of asbestos to cause peritoneal
- 10 mesothelioma, is it necessary that you have an
- 11 exact measurement of the number of asbestos fibers
- 12 inhaled?
- 13 A. Absolutely not. And I've been doing this
- work for over 40 years, not in a single case have I
- ever known the exact dose. And even when it was
- 16 required legally, when OSHA came into being, it
- 17 required that places where asbestos was used on a
- 18 regular basis, like the work of insulators, that
- 19 measurements be taken.
- 20 One of the interesting pieces of
- research Dr. Selikoff did is he sent about 10,000
- of his 17,000 insulators letters asking if they had
- seen what had been a legally required measurement
- 24 in their workplace in the previous year. They were
- supposed to be done every six months, so in a year

- they should have seen -- each worker should have
- 2 seen two such evaluations. He got back about 9,000
- of the 10,000 postcards he sent out, and in less
- 4 than ten instances, ten, had a worker seen an
- 5 individual exposure measurement during the previous
- 6 year when there should have been two seen by every
- 7 one of those workers.
- 8 Q. Does the absence of data of an exact
- 9 measurement make it any -- difficult for you to
- 10 come up with an attribution for Mr. Suoja's
- 11 mesothelioma?
- 12 A. It causes me no difficulty.
- 13 Q. And why is that?
- 14 A. Because I don't need to know what the exact
- 15 exposure was. First of all, if you go through all
- 16 of the literature, nobody knew what the exact
- 17 measurement of any of these cases were ever that's
- 18 been reported in the literature that I'm aware of.
- 19 I've looked at thousands of legal cases and not a
- 20 single case have I ever had knowledge of what the
- 21 exact exposure was. Occasionally somebody will do
- what's called a dose reconstruction, try to
- estimate what the exposure was. The literature
- 24 speaks to that and people have found that as little
- as .1 fiber years, so that means working at .1

- fiber per cc and that's now currently the legally
- 2 required upper limit, which has only been in place
- 3 in this country since 1994.
- In Mr. Suoja's day, that exposure --
- 5 he was pre-OSHA -- would have been much higher, but
- 6 even at -- you know, so all of the years he would
- 7 have worked, the recommended levels of exposure
- 8 would have been higher. So he clearly would have
- 9 had more than .1 fiber year of exposure over, you
- 10 know, decades of working, and that level has been
- shown in groups of individuals to be sufficient to
- 12 cause cases of mesothelioma.
- 13 Q. How is it that it's been shown sufficient?
- I mean, is that the science of epidemiology that
- we're talking about primarily?
- 16 A. It's combining epidemiology with industrial
- 17 hygiene, yes. The work of LeCourt, L-E-C-O-U-R-T.
- 18 He talked about .1 fiber year; Dr. Rodelsberger was
- 19 a .15, I believe; and an older paper was Iwatsubo
- 20 -- I'll give it to you later -- Iwatsubo was a .5
- 21 fiber years.
- 22 O. What about your personal observations of
- the work of insulators, does that relate to
- Mr. Suoja's case even though you didn't see him?
- 25 A. Yes. I mean, I haven't seen many

- insulators actually working. I've seen images and
- 2 I've certainly talked to many insulators, and many
- of them talk about literally the clouds of dust
- 4 that they worked in.
- 5 Q. Do you have with you the medical records
- 6 that you reviewed --
- 7 A. I do.
- 8 Q. -- and data on Mr. Suoja?
- 9 A. I do.
- 10 Q. Okay. Let me just take a look at that file
- 11 for one second.
- 12 A. (Hands file to counsel.)
- MR. CASMERE: Are you almost done,
- 14 because we need to take a break?
- THE WITNESS: So the court reporter
- 16 can feed her meter.
- 17 THE COURT REPORTER: Is this a good
- 18 time or are we going to --
- MR. McCOY: This is fine.
- THE COURT REPORTER: Thank you.
- 21 - -
- 22 (Whereupon, a brief recess was taken
- at 9:42 a.m., which the deposition continued at
- 24 9:53 a.m.)
- 25 - -

- 1 (Whereupon, Exhibit Plaintiff-142,
- 2 Arthur Frank, M.D., Records of Oswald Suoja, was
- 3 marked for identification.)
- 4
- 5 BY MR. McCOY:
- 6 Q. We've got next for the record, Plaintiff
- 7 Exhibit No. 142. This is not being offered for
- 8 evidence purposes, but is Exhibit 142 a copy of the
- 9 filed information in your report?
- 10 A. Yes, sir.
- 11 Q. So that's the information you worked off
- of. What -- and that includes a work history?
- 13 A. Yes, sir.
- 14 Q. Okay. It lists some job sites, right?
- 15 A. Yes, sir.
- 16 Q. And --
- 17 A. Medical records.
- 18 Q. Medical records. Okay.
- 19 So based on the medical records,
- 20 what disease did Mr. Suoja have?
- 21 A. He had a malignant peritoneal mesothelioma.
- 22 Q. And is there anything unusual or
- 23 significant in his medical records that would make
- 24 his peritoneal mesothelioma any different than what
- we've been talking about so far today?

- 1 A. No, sir.
- Q. You have a copy of his death certificate
- 3 there?
- 4 A. I do. And mesothelioma is misspelled, but
- 5 it says he died of a mesothelioma as a consequence
- of asbestos exposure. I certainly would agree with
- 7 that. It also says he had other significant
- 8 condition, they list it as diabetes, which he also
- 9 had, but they got the type wrong, it's Type 2, not
- 10 Type 1, but that's okay.
- 11 O. Did the diabetes cause his death?
- 12 A. No, mesothelioma caused his death.
- 13 Q. And based on your review of the records,
- 14 what -- was that diabetes controlled even though it
- 15 had reached the advanced stages?
- 16 A. With the drugs we have these days, diabetes
- 17 can be pretty well controlled.
- 18 Q. Anything else or did you see anything in
- 19 there besides the mesothelioma that would have
- 20 shortened his life expectancy?
- 21 A. No. He had other medical issues. He was
- 22 on thyroid medication, that's not going to shorten
- 23 his life; thyroid disease, so no.
- Q. The surgical pathology report, can you turn
- 25 to that for a moment?

- 1 A. Yes, sir. Yes, I have it.
- Q. Okay. What does that show?
- 3 A. It shows that they took four specimens when
- 4 they were in there looking at what was going on in
- 5 his abdomen. Macroscopic, as I said, four
- 6 specimens, and they all turned out to document that
- 7 he had developed the mesothelioma.
- 8 Q. What areas were these specimens from?
- 9 A. From his pelvis, from the mesentery, which
- is the connective tissue between the organs, the
- omentum, which is more of this connective tissue,
- and from the left diaphragm, so all the way from
- the bottom of the abdominal cavity to the top.
- 14 Q. And what were the findings for each of
- those specimens in term of whether it was cancerous
- or not?
- 17 A. They were all cancerous.
- 18 Q. All mesothelioma?
- 19 A. Ultimately that's what they decided. This
- 20 was a -- originally they said adenocarcinoma versus
- 21 mesothelioma. They finally decided it was a
- 22 mesothelioma.
- Q. Okay. And do you agree with their ultimate
- 24 findings?
- 25 A. Yes.

- 1 Q. So moving on here, your report mentions an
- 2 80-year history of literature, right?
- 3 A. Yes.
- Q. Okay. And that is about the asbestos
- 5 diseases, right?
- 6 A. Well, that goes back to Merewether and
- 7 Price.
- 8 Q. Basically what does this history establish
- 9 about the dangers of asbestos?
- 10 A. The history is it has been accumulating for
- the last hundred years, but particularly the last
- 12 80 years is that asbestos is a hazardous material,
- a toxic material, a carcinogenic material. Cancers
- were suggested as early as 1935. The first report
- of asbestos-causing mesothelioma was in 1938.
- 16 There was a report in the literature in 1950 -- I'm
- 17 sorry, 1944, a gentleman who was a plumber. Lung
- 18 cancer was definitively linked in 1942 in Dr.
- 19 Hueper -- H-E-U-P-E-R [sic], Dr. Hueper's book, so
- 20 we've known about the cancer-causing potential of
- asbestos since the early 1940s.
- 22 O. And you began earlier in talking about the
- 23 Merewether article.
- 24 A. Yes, sir.
- Q. Why did you choose the Merewether article

- of 1930, Merewether and Price?
- 2 A. Because that article -- I look upon it as
- 3 very comprehensive. It talks, as I said, men get
- disease, women get disease, different products do
- 5 it. It talks about prevention, it talks about
- 6 educating workers. It does all the things 85 years
- 7 ago now that we know now and that we ought to be
- 8 doing in all workplace settings.
- 9 O. What does it talk about that existed as far
- 10 as prevention?
- 11 A. Well, it talked about lower the exposure,
- 12 educating the worker, providing respirators or
- 13 air-supplied hoods. If you don't get exposed or
- 14 you reduce the exposure, you reduce the likelihood
- 15 of getting the disease.
- 16 Q. Was there some way back in the earlier time
- 17 to have something other than asbestos for
- 18 prevention purposes?
- MR. CASMERE: Objection to form;
- 20 foundation; Rule 26.
- 21 THE WITNESS: There were other
- 22 products available in the 1940s that ended up being
- a substitute for asbestos; the artificial fibrous
- 24 materials that we refer to generally as fiberglass
- 25 but it really is various fibrous glass products or

- 1 other kinds of products or materials that could
- 2 substitute for asbestos.
- 3 BY MR. McCOY:
- 4 Q. And is that a method of prevention?
- 5 A. Yes, substitution.
- 6 MR. CASMERE: Same objections.
- 7 BY MR. McCOY:
- 8 Q. All right. How many fibers would you
- 9 estimate or release during normal pipe covering
- 10 installing and removing work?
- 11 A. Millions.
- MR. CASMERE: Objection to form;
- 13 foundation; Rule 26.
- 14 BY MR. McCOY:
- 15 Q. In Paragraphs 66 and 67 of this affidavit
- 16 that was filed in September of 2014 --
- 17 A. Yes, sir.
- 18 Q. -- you give some statements about numbers
- 19 of asbestos fibers.
- 20 A. Yes.
- Q. And is that -- these high numbers of
- 22 millions and --
- 23 A. Billions and trillions.
- Q. Okay. This is what you're referring to?
- 25 A. Well, this refers to brake dust, but it

- 1 could refer to a lot of other products. These were
- 2 specifically measured in brakes, but other products
- 3 would give off these levels of fibers depending on
- 4 how much dust you were creating.
- 5 Q. And when you give that figure of millions
- of fibers or more as an estimate, are you talking
- 7 about a lifetime, are you talking about just --
- 8 A. In a given day.
- 9 O. Okay. Let's say somebody is cutting some
- 10 pipe and you're assessing how much actual fiber
- exposure they have, what happens when there's dust
- that's created, what happens to those asbestos
- 13 fibers?
- MR. CASMERE: Objection. Rule 26.
- 15 BY MR. McCOY:
- 16 Q. Go ahead.
- 17 A. I think you mean pipe covering, not pipe.
- 18 Q. You're right.
- 19 A. I mean, there's asbestos cement pipe, but
- you're referring to the pipe covering?
- 21 Q. Right, the insulation material, the pipe
- 22 covering.
- 23 A. You can measure how much is there and it
- can be very high levels, and what happens to it is
- people working with it in cutting it, if they're

- 1 not wearing a respirator, are going to breathe in
- 2 considerable numbers of fibers.
- 3 Q. Do these fibers settle out very quickly or
- 4 what happens?
- 5 MR. CASMERE: Same objections.
- THE WITNESS: Some fibers can stay
- 7 in the air literally for hours, and then in the
- 8 process of cleaning up, if somebody comes by or
- 9 disturbs it, walks through it, drags material
- 10 through the dust that re-entrains it, puts it back
- 11 up in the air, it can be rebreathed.
- 12 Q. Is this part of the exposure assessment you
- make with regard to an insulator like Mr. Suoja?
- 14 A. Yes.
- MR. CASMERE: Same objection.
- 16 BY MR. McCOY:
- 17 Q. Okay. I'd like you to assume that
- 18 Mr. Suoja had personally installed or removed
- 19 asbestos-containing pipe insulation for at least
- one month in 1950s or 1960s and the visible dust
- 21 was generated from cutting or removing that pipe
- 22 covering insulation and crews of multiple
- insulators, and also assume that Mr. Suoja was
- diagnosed with peritoneal mesothelioma in 1996.
- 25 What is your opinion as to whether this exposure

- 1 alone could cause peritoneal mesothelioma if that
- was his only dose?
- 3 MR. CASMERE: Let me interpose an
- 4 objection here on Rule 26; form; foundation; and
- 5 the prior court rulings on this issue. Thank you.
- 6 BY MR. McCOY:
- 7 Q. Go ahead.
- 8 A. Are you talking about his whole career he
- 9 only spent one month, or with a particular product?
- 10 Q. I'm asking you --
- 11 A. In general, one month would be sufficient
- 12 to give him his peritoneal mesothelioma. If that's
- the only exposure he had, that would be sufficient,
- in my opinion.
- 15 Q. Okay. And what is the basis for your
- 16 saying this?
- 17 A. For all the things we've been talking about
- this morning, about how little asbestos it takes to
- 19 actually give one a mesothelioma. If you're giving
- 20 me a month of exposure, even, let's say, four weeks
- 21 of five or six work days, compared to one day, both
- in humans and animals doing it, that's certainly,
- in my mind, sufficient.
- Q. How about under the Bradford Hill or
- 25 Helsinki Criteria?

- 1 A. Same thing. The Helsinki Criteria talk
- about, you know, even low exposures can do it. A
- 3 month is not necessarily a low exposure.
- 4 MR. CASMERE: Same objections.
- 5 BY MR. McCOY:
- 6 Q. Can mesothelioma be cured?
- 7 A. I've been doing this kind of work for over
- 8 45 years, I've never seen a patient cured of
- 9 mesothelioma.
- 10 Q. Is there a safe level of exposure to
- asbestos when you're talking about mesothelioma?
- 12 A. Yes, there is one safe level, zero.
- 13 Anything other than zero is not -- should not be
- 14 considered as safe.
- 15 Q. You mentioned something earlier about -- I
- 16 can't remember your exact terminology, but
- 17 recommended levels in the earlier years.
- 18 A. OSHA is a government agency that put in
- 19 legally allowable limits. Prior to that --
- 20 Q. When did OSHA's limits go in --
- 21 A. 1972.
- 22 Q. Okay.
- 23 A. Prior to 1972, there were -- some states
- 24 may have had legal limits. I don't know about
- where Mr. Suoja worked, so I can't speak to those,

- but many places adopted or made use of what were
- 2 essentially recommendations, which was one
- 3 organization called the ACGIH, American Conference
- 4 of Governmental Industrial Hygienists, and the
- 5 ACGIH had and continues to have recommended levels
- of exposure for many, many materials, including
- 7 asbestos. So prior to OSHA, these were often
- 8 recommendations; some states adopted them.
- 9 O. What was the ACGIH level?
- 10 A. For many years, it was 5 million particles
- 11 per cubic foot.
- 12 Q. And how does that factor into the causation
- assessment for Mr. Suoja's mesothelioma?
- 14 A. Well, we know that that is a level that --
- first of all, it didn't protect people from getting
- 16 asbestosis.
- 17 Q. It didn't protect from what?
- 18 A. Asbestosis. And it takes more asbestos to
- 19 produce asbestosis than it does to produce the
- 20 cancers, so it certainly didn't protect against
- 21 cancer. And that was well recognized in the work
- 22 of Dr. Stokinger, Herbert Stokinger, who in 1956
- 23 wrote that if the ACGIH was interested in
- 24 protecting against cancer from asbestos, the levels
- should be 100 to 500 times less because of all the

- levels we're speaking to at that point was
- 2 preventing asbestos.
- 3 MR. CASMERE: I didn't want to
- 4 interrupt, but I have objections to form,
- foundation, and Rule 26.
- 6 BY MR. McCOY:
- 7 Q. Your evaluation of these different exposure
- 8 levels, that's something that factors into your
- 9 assessment of Mr. Suoja's causation, right?
- MR. CASMERE: Same objections.
- 11 THE WITNESS: Yes.
- 12 BY MR. McCOY:
- 13 Q. Let me just check my notes. I think we're
- 14 done here.
- MR. McCOY: Okay. I think that's
- 16 it. Thank you, Doctor.
- 17 THE WITNESS: You're very welcome.
- 18 - -
- 19 CROSS-EXAMINATION
- 20 - -
- 21 BY MR. CASMERE:
- 22 O. Hi, Doctor.
- A. Hi, Mr. Casmere.
- Q. How are you?
- 25 A. I'm good. It's nice to see you again, sir.

- 1 Q. Good to see you.
- 2 Mr. McCoy crammed a lot of stuff
- 3 there at the end, so we'll try to go through this.
- 4 And I'm going to ask you some questions and then
- 5 I'm going to ask you some questions subject to my
- 6 prior objections since this is the only chance I
- 7 get to see you in this case.
- Your report in this case, which is
- 9 Plaintiff's Exhibit 142, is dated August 7, 2012,
- 10 correct?
- 11 A. Yes, sir.
- 12 Q. That's a single page?
- 13 A. Yes.
- 14 Q. You reviewed approximately 20 pages of
- 15 medical records?
- 16 A. Yes.
- 17 Q. And you had a one-paragraph work history
- and then one or two other documents, correct?
- 19 A. Correct.
- 20 Q. That's the totality of the material you had
- 21 to render your opinions in this case?
- 22 A. No, that's the totality that I had
- regarding Mr. Suoja. I've had 45 years of reading
- this literature to come to a conclusion and render
- my opinion which I brought to bear on this, but

- 1 you're right, what I had was the documents you just
- 2 enumerated in this specific case.
- 3 Q. Specific to Mr. Suoja?
- 4 A. Correct.
- 5 Q. Before the last couple of days preparing
- for this deposition, you had spent about an hour on
- 7 this case; is that fair?
- 8 A. Yes, sir.
- 9 Q. And you didn't review any pathology, chest
- 10 x-rays, or CTs?
- 11 A. Correct, I reviewed the reports.
- 12 Q. You are accepting as accurate the diagnosis
- made by the pathologist that it's a mesothelioma,
- 14 correct?
- 15 A. Yes, and the treating doctor.
- 16 Q. For the attribution opinions that you gave
- 17 in this case, you really only needed two pieces of
- information; one is that Mr. Suoja was diagnosed
- 19 with mesothelioma, and two was that there was some
- history of exposure to asbestos; is that correct?
- 21 A. I needed a third piece that there was a
- 22 sufficient latency.
- Q. Anything else?
- 24 A. No.
- 25 Q. Okay. And I think you've made this clear,

- but it doesn't matter what type of asbestos, how
- 2 much he was exposed, how long, or what type of
- 3 exposure it was, correct?
- 4 A. None of the above.
- 5 Q. Okay. None of that matters?
- 6 A. Well, it matters, but you don't have the
- 7 information. I don't know -- you know, I mean, I
- 8 have the fact that he worked from '43 to '84, so we
- 9 have a duration. It's, you know, about 40 years.
- 10 I don't have a proximity other than he was an
- insulator and he would be working hands-on. I
- 12 certainly don't have a dose because nobody was
- measuring it. So I have some of that information,
- 14 I just don't have -- in a numerical detail.
- 15 Q. In your attribution opinion, it was enough
- 16 -- he had enough exposure by 1944 to cause his
- 17 mesothelioma?
- 18 A. If that had been his only exposure, I would
- 19 be sitting here said that year of exposure was
- 20 enough to give his mesothelioma, of course.
- Q. No diagnosis of asbestos in this case?
- 22 A. No.
- Q. And even you couldn't say that the single
- 24 pleural calcification was asbestos related?
- 25 A. Well, that's all I saw and I didn't have

- 1 enough other information to determine that it was
- or it wasn't. It may well be. Seven percent of
- 3 plural calcifications are unilateral among
- 4 individuals, but there are other causes of it, so I
- 5 didn't have enough information to say with a
- 6 reasonable degree of medical certainty that it was
- 7 the cause of his pleural calcification, though it's
- 8 certainly consistent with his exposures.
- 9 O. Now, in looking at the pathology report,
- 10 did you notice that the tumor was mucin producing.
- 11 Did you see that?
- 12 A. Well, that was on frozen section, and it
- turns out it probably wasn't a mucin-producing
- tumor, because there are mucin-producing
- mesotheliomas.
- 16 Q. It's rare to have a mucin-producing
- 17 mesothelioma?
- 18 A. I don't recall ever seeing one.
- 19 Q. But for the purpose of your opinions in
- this case, it doesn't really matter if he had a
- 21 mesothelioma or if he had stomach cancer or liver
- 22 cancer, you would say that it's related to his
- asbestos exposure?
- 24 A. No, no, no, no. No. I would say --
- let's be clear, and you're putting words

- 1 potentially in my mouth. Mesotheliomas related to
- 2 asbestos, stomach cancer related to asbestos.
- 3 Liver cancer, no, I would not claim that that was
- 4 caused by asbestos. And if it was a lung cancer in
- a non-smoker with 40 years of exposure to asbestos,
- 6 I would say that that was related to his asbestos
- 7 exposure, but it's not a lung cancer either.
- Q. Then to be more precise with my question,
- 9 even if it turned out that he didn't have a
- 10 mesothelioma but he had a stomach cancer, you would
- 11 say that was related to his exposure to asbestos?
- 12 A. There are mucin-producing adenocarcinomas
- of the stomach and I would say that a stomach
- 14 cancer would be related to. Asbestos and there's
- increasing literature on that subject, you know,
- 16 including work in a factory done in China with my
- 17 colleagues over there that documented that, among
- 18 many other studies.
- 19 Q. You have in the past referred to yourself
- 20 as an academic physician?
- 21 A. I always -- I still do. I mean, that's one
- 22 way I can give myself an attribution. I've always
- been an academic. I finished my training on
- June 30th, I became a faculty member on July 1st in
- 25 1977, and I've always been in an academic setting.

- I have not had a private practice, but I practice
- 2 medicine, I hold active licenses now, I still do
- 3 clinical related research, I have a project in
- 4 Texas that's clinically related, but I've always
- 5 been an academic physician in that I've taught and
- done research and done a lot of other things which
- 7 I do in the academic setting.
- 8 Q. In terms of actually seeing patients and
- 9 laying hands on patients, how frequently do you do
- 10 that?
- 11 A. I haven't seen patients for a few years
- 12 now. I'm at the School of Public Health. I teach
- over in the College of Medicine. I sometimes get
- telephone referrals from colleagues, but I haven't
- laid hands on in a few years now.
- 16 Q. For the benefit of the Judge who will be
- 17 reading this and since you do this better than I
- do, can you just go through the list of what you're
- 19 not?
- 20 A. Sure. I mean, there's a whole long list of
- 21 things I'm not.
- 22 O. You know what --
- 23 A. But medically, the usual list, I'm not a
- 24 pathologist, I'm not a pulmonologist, I'm not an
- oncologist, I'm not a radiologist, I'm not an

- 1 industrial hygienist, certified or otherwise. As
- 2 Popeye might say, I yam who I yam, and I'm a board
- 3 certified internist and a board certified
- 4 occupational medicine specialist and I hold a Ph.D.
- 5 in the area of asbestos.
- 6 Q. You are not a material scientist either?
- 7 A. I'm not a material scientist, I'm not a
- 8 mineralogist, I'm not a geologist.
- 9 O. Nor a history professor?
- 10 A. I'm not a history professor. I'm
- interested in history, I read a lot of history, but
- 12 I'm not a history professor.
- Q. You've been doing this type of litigation
- 14 consulting work for, I think you said just over --
- 15 a little over 35 years?
- 16 A. Yes, sir, since the late '70s.
- 17 Q. You have testified well over a thousand
- 18 times?
- 19 A. Yes.
- 20 Q. In trial, do you have any estimate how many
- 21 trial testimony you've given?
- 22 A. Probably about 200 now, 180 to 200.
- Q. You have written probably over 5,000
- 24 different expert reports in asbestos litigation
- 25 over the years?

- 1 A. Yes.
- Q. You have never testified at a trial for a
- 3 company who was a defendant in an asbestos-related
- 4 personal injury litigation?
- 5 A. Not for a company. I had testified for the
- 6 City of Philadelphia twice about a year ago, but
- they weren't a company, they were the defendant,
- 8 though. But in a PI case, that's true, I've
- 9 testified for defendants on a small number of
- 10 occasions in asbestos litigation.
- 11 Q. 99-point-something percent of your time
- 12 is --
- 13 A. Plaintiffs' work.
- 14 Q. Plaintiffs' work.
- 15 You have done hundreds of reports
- for Mr. McCoy's firm over the years; is that right?
- 17 A. Not hundreds. I've never kept track. He
- 18 would probably know better, but I would think it's
- 19 probably bumping up near a hundred, maybe not even
- that many. Maybe 50, 75, something like that.
- 21 Q. Do you know how many reports you signed on
- the same day as the one in Suoja for Mr. McCoy's
- 23 firm?
- 24 A. Oh, there were a lot. There was a whole
- 25 bunch of cases, I guess, that came out of the MDL

- 1 that I reviewed and signed. I don't know, 20
- 2 reports or something.
- 3 Q. It wouldn't be out of the ordinary for you
- 4 with respect to your work for Mr. McCoy's firm to
- 5 have certain days where you have signed off on 10
- or 20 reports in that day; is that fair?
- 7 A. Well, that was an unusual set of
- 8 circumstances. What generally happens, as I do in
- 9 every case with every attorney, I send them a
- 10 draft. I do that not because they can change my
- opinions, but I do do work in many different
- jurisdictions and I don't know all the rules, and,
- you know, federal court is different from state
- 14 court, you know, Texas is different from
- 15 Philadelphia, Pennsylvania is different from
- 16 California, so what gets said has to comport with
- 17 the law, so -- and I don't know the law not being a
- 18 lawyer, but I know the medicine.
- So every lawyer gets a report, and
- 20 what tends to happen is while I may have sent
- 21 drafts over a period of time, they all get sort of
- 22 reviewed and sent back at once, so I may sign a
- whole bunch of letters on one day, but that doesn't
- mean that they all were done that day; that's the
- 25 day that they were finalized and signed off on.

- 1 Q. You have to sort of adjust the causation
- language depending on the jurisdiction; is that
- 3 fair?
- 4 A. That's fair. And I would say 95 to
- 5 98 percent of my letters come back fine as is, so
- 6 having done this for a long time, I sort of know
- 7 something about the various jurisdictions, but
- 8 things change all the time and I just want to be
- 9 sure I'm doing it right.
- 10 Q. Are you still at the level of generating
- 11 about 400,000 to \$500,000 a year in billings for
- 12 this type of work?
- 13 A. Last year was the highest that was ever
- generated, was 495. This year it will be closer to
- 15 350, I think. So it's in that range if you average
- it out over the last few years.
- 17 Q. Okay.
- 18 A. Never as much as five, but probably between
- 19 -- it's probably around 400, average.
- 20 Q. The amount of time that you spend on this
- work you've said is between 300 to 400 hours,
- 22 correct?
- 23 A. Something like that. And it's probably
- 24 gotten a bit -- you know, I don't know. I don't
- work a 40-hour week. I generally work at least a

- 1 55-hour week and sometimes 70 or 80 hours, and it's
- 2 probably because of more depositions and more
- 3 trials, it's gotten up to maybe 20 percent of my
- 4 time, so I haven't done the math.
- I have a one-hour minimum now. A
- file like this that was only 20 pages, it wouldn't
- 7 have taken me an hour to read it, but I have --
- 8 every lawyer I work with knows that I have a
- 9 one-hour minimum for a report, and so that's why I
- 10 can generate more money than the hours.
- 11 Q. Right. We've talked about that before.
- 12 And you could do the math, if it's three to
- 400 hours at the \$425 rate, it's between 127 to
- 14 \$170,000?
- 15 A. Something --
- 16 Q. Something like that?
- 17 A. Something like that.
- 18 Q. And the income of or the revenue generated
- of 400 to 500,000 is based on that -- you know, the
- 20 differential is that one-hour minimum?
- 21 A. Yes.
- 22 O. And the majority of the cases that you have
- 23 based on that math, they fall under the one-hour
- 24 minimum?
- 25 A. Most of them do. Most of them are

- 1 mesotheliomas, it's not like I have to consider a
- 2 huge differential diagnosis as to what caused the
- disease. If I have exposure to asbestos, as you
- 4 asked me, and somebody has a mesothelioma, unless
- 5 they lived or visited in Turkey or Sicily, it's
- 6 pretty straightforward.
- 7 Q. I want to shift gears over to the causation
- 8 sort of attribution opinions that I want to ask you
- 9 about subject to my objections and what the Court
- 10 rules about that.
- 11 Before I do that, the book that you
- wrote in the 1970s, I think it's No. 1 on your CV.
- 13 A. No, it's not No. 1. It's probably No. 5.
- 14 It's the only book I wrote.
- 15 Q. Can I see it?
- 16 A. Sure.
- 17 Q. I'm sorry, I didn't bring it with me.
- 18 A. That's fine. (Hands document to counsel.)
- 19 Q. Yeah. Right, No. 5. It was published by
- 20 the Matthew Bender --
- 21 A. Right. It's a medical/legal publishing
- 22 house. That came about because I was a house
- officer. I wrote it when I was a resident at Mount
- 24 Sinai. I got asked by a colleague whose wife
- worked at Matthew Bender knowing that I was headed

- 1 for an academic career and I was interested in
- 2 cancer and occupational medicine, I was asked if I
- 3 would write a book on occupational and
- 4 environmental causes of cancer, which I did. The
- first volume was, I don't know, about 600 pages or
- 6 so. There are some sections in there on legal
- 7 matters, which I had nothing to do with, they were
- 8 put in by the lawyers of Matthew Bender.
- 9 O. Do you have any extra copies you can sell
- 10 me?
- 11 A. For a price.
- 12 Q. Okay. Send me the quote.
- 13 A. You can probably get it from Matthew
- 14 Bender. I mean, it's one of these looseleaf
- 15 binders. I'm sure they've got some on their
- 16 shelves. I have several copies of it from -- going
- 17 back to the 1970s.
- 18 Q. The question I really wanted to ask you
- 19 about was that in your CV, it says that the title
- 20 of it is Cancer.
- 21 A. It is.
- 22 O. Is there -- is it called Cancer Courtroom
- 23 Medicine; is that the title of it?
- 24 A. No. The series of books that it's in is
- 25 called Courtroom Medicine and there are many

- 1 different volumes; there's one on shoulder
- injuries, there's one on trauma, there's one on --
- 3 you know, different doctors have written things.
- 4 So the series was called Courtroom Medicine, the
- 5 title of mine in that series is called Cancer.
- 6 Q. Okay. Thank you.
- 7 A. I forget, lawyers needed to know about that
- 8 subject as much as anybody else.
- 9 I did make one serious mistake with
- 10 it.
- 11 Q. What's that?
- 12 A. I took a flat sum instead of taking the
- 13 royalties. It turns out it was a pretty good
- seller and I probably would have made more money
- 15 with the royalties.
- 16 Q. Good lesson.
- 17 You touched on this towards the end
- 18 of your direct examination, but essentially it's
- 19 your opinion that the only exposure that a person
- 20 has that is not causative in their asbestos-related
- 21 disease is the exposure that they didn't have it?
- 22 A. Right. If they didn't have it, it couldn't
- 23 cause it.
- 24 Q. But if they have an exposure, no matter how
- 25 slight, no matter how minimal, your opinion is that

- 1 that is part of the cause of the disease?
- 2 A. It's part of their cumulative exposure.
- 3 Q. And thus the cause?
- 4 A. And thus the cause because it is the
- 5 cumulative exposure that is the cause.
- 6 Q. And that's true in your mind and in your
- 7 opinion even for a single exposure on a single day?
- 8 A. Well, let me give you sort of an example
- 9 that illustrates that.
- 10 Q. I would first appreciate if you give me the
- answer.
- 12 A. The answer is yes.
- 13 Q. Okay.
- 14 A. And to illustrate that, let's say somebody
- decides as a young person to smoke cigarettes, and
- there are a million brands of cigarettes and let's,
- 17 for argument sake, say one cigarette -- you know,
- 18 no matter how slight, one cigarette can't cause
- 19 lung cancer. We'll take that as a starting point.
- 20 And there is a million brands of cigarettes and
- 21 they start smoking, and every time they light a
- 22 cigarette, they smoke one each of a different brand
- of cigarettes, and at the end of their life,
- they've smoked one each of a million brands of
- 25 cigarettes and they get lung cancer. Now, you

- 1 either have to say none of them did it because it
- was only one of each brand or you have to say the
- 3 cumulative exposure did it. And I'm of the school
- 4 that says the cumulative exposure, one at a time a
- 5 million times, is what did it, and I can't leave
- 6 any one of them out. That doesn't mean in some
- 7 legal settings that might not happen, but
- 8 medically, scientifically, every exposure
- 9 contributes to the totality of the exposure.
- 10 Q. You can't disaggregate those exposures?
- 11 A. Of course not. There's no scientific way
- 12 to do that.
- Q. And you can't say that one was
- insubstantial and one was substantial?
- 15 A. They were all substantial scientifically.
- 16 Q. The hypothetical that Mr. McCoy gave you
- 17 where he used the -- I think he used pipe covering
- 18 as his example. Do you recall that?
- 19 A. Yes, sir.
- 20 Q. Your opinion would be the same whether the
- 21 product that he put in that hypothetical was cement
- 22 -- asbestos cements?
- 23 A. Sure, or any other products.
- 24 Q. Right. Any product I could come up with
- that's asbestos, if I plug that into the

- 1 hypothetical --
- 2 A. You'd get the same answer.
- 3 Q. Thank you.
- 4 MR. McCOY: Let me object to that
- 5 question. And I can tell you what my objection is,
- 6 Ed.
- 7 MR. CASMERE: Go ahead.
- MR. McCOY: My objection is, I mean,
- 9 the pipe covering -- Kaylo is an example of pipe
- 10 covering, but also the mud goes on top of it and
- covers the pipe too, so that was my basis for my
- objection is, those are really both forms of pipe
- 13 covering. Go ahead.
- 14 I don't know if that changes your
- 15 question.
- 16 MR. CASMERE: I don't think it
- 17 changes my question nor do I think it changes Dr.
- 18 Frank's answer.
- MR. McCOY: Right. Okay.
- 20 BY MR. CASMERE:
- 21 Q. The affidavit that you referenced was
- 22 signed June 21, 2012?
- 23 A. Yes, sir.
- Q. You didn't prepare that for this case?
- 25 A. No, it's a generic affidavit that I've used

- in other cases. And I have a more recent one,
- 2 actually.
- 3 Q. Right.
- 4 MR. McCOY: That recent one was
- 5 provided to you also, Ed, about --
- 6 BY MR. CASMERE:
- 7 Q. That's a 66 page one?
- 8 A. Yes.
- 9 Q. That was also not prepared for just this
- 10 case?
- 11 A. Correct. It was not prepared for any
- 12 specific case. I get asked all the time about
- what's the basis of my opinions, and that's a good
- 14 starting point for the basis of my opinions.
- MR. McCOY: For the record, that's
- 16 the one I sent you, I think it was the November 18,
- 17 2013 version.
- 18 BY MR. CASMERE:
- 19 Q. I want to shift gears one more time and
- 20 talk to you a little bit about sort of the
- 21 state-of-the-art opinions that you were giving.
- 22 Okay?
- 23 A. (Nods.)
- 24 Q. In your report you have a single sentence,
- it's the second to last sentence of the report that

- 1 says, The hazards of asbestos have been known for
- 2 more than a century and the need to protect
- 3 individuals written about some 80 years ago,
- 4 correct?
- 5 A. Correct. And I cited both of those in the
- direct testimony, the report from Great Britain in
- 7 the late 1890s, it was 1898 or '99, her Majesty's
- 8 Inspectorate of Factories report, and some 80 years
- 9 ago, the first Merewether and Price.
- 10 Q. The Merewether and Price 1930 article
- 11 included information about the methods to reduce
- 12 and control exposures, correct?
- 13 A. Yes, sir.
- 14 Q. That was also an article that was written
- about the studies that were done in the asbestos
- 16 textile factories in the United Kingdom?
- 17 A. Yes.
- 18 Q. That article was then quoted and cited and
- 19 referred to in 1930 in the Asbestos Workers
- 20 Journal, correct?
- 21 A. I wasn't aware of that.
- 22 MR. CASMERE: I'm going to mark this
- as -- I guess we'll do Defense Exhibit 1 for the
- 24 deposition.
- 25 - -

- 1 (Whereupon, Exhibit D-1, The
- 2 Asbestos Worker, September 1930 article, Volume 9,
- No. 9, was marked for identification.)
- 4 - -
- 5 MR. McCOY: So I have an objection
- 6 to foundation and relevance on that. Well, I guess
- 7 we've agreed relevance objections can be held.
- 8 Certainly foundation is my objection. And also, I
- 9 guess, hearsay-type objection here.
- 10 BY MR. CASMERE:
- 11 Q. You're familiar with the Asbestos Worker
- 12 Journal, correct?
- 13 A. I'm familiar that the union had a journal.
- I didn't know how far back it went, but I knew
- about it from the time I started with Selikoff in
- 16 the 1960s. I didn't know retrospectively how far
- 17 back it went, which is why I answered you the way I
- 18 did.
- 19 Q. Sure. I'm not trying to chastise you for
- 20 not --
- 21 A. No.
- 22 Q. -- knowing about this.
- 23 A. I'm not taking it as such.
- Q. Okay. But I do want to establish that you
- 25 are aware that there was such a thing called the

- 1 Asbestos Worker Journal that was sent to the
- 2 members of the asbestos workers union, correct?
- 3 A. Correct. I can't speak to what any
- 4 individual union member may or may not have read or
- 5 seen, but a lot of things were in the journal that
- 6 I'm not necessarily aware of. And even the ones
- 7 I'm aware of, I can't speak to what everybody knew.
- 8 Q. The work that you did with Dr. Selikoff in
- 9 the 1960s, some of that work appeared in the
- 10 Asbestos Worker Journal in the 1960s and --
- 11 A. '60s and '70s, yeah. The green sheets were
- 12 sent out in the '70s.
- 13 Q. So in essence, you have had a hand in work
- that has been published in that journal?
- 15 A. You can say that. I wouldn't go quite that
- 16 far, but, okay.
- 17 Q. You don't have to be shy about it.
- 18 A. Okay. I mean, I worked on the studies that
- 19 Dr. Selikoff was regularly reporting about.
- 20 Q. And some of those got published in the
- 21 Asbestos Worker Journal?
- 22 A. The findings, yes.
- Q. In 1930, the Asbestos Worker Journal on
- 24 Page 9 of the September 1930 edition has an article
- called The "Pulmonary Asbestos" Menace, correct?

- 1 A. Yes.
- Q. This is a report about asbestos among
- 3 people working with asbestos, correct?
- 4 A. Yes.
- 5 Q. And have you ever seen this before?
- 6 A. I have not. Brand new to me.
- 7 Q. All right.
- 8 A. Thank you for bringing it to my attention,
- 9 actually.
- 10 Q. Would you like an extra copy for your
- 11 records?
- 12 A. Yeah, I would.
- 13 Q. Sure.
- MR. McCOY: Can I have one?
- MR. CASMERE: Maybe.
- THE WITNESS: I'll make a copy
- 17 later.
- MR. McCOY: Which page are we on?
- THE WITNESS: Nine.
- 20 BY MR. CASMERE:
- 21 Q. This is my last one, so you can have that.
- The asbestos workers union in 1930
- had included a publication about the hazards of
- asbestos and the disease asbestosis as of 1930,
- 25 correct?

- 1 A. Yes.
- Q. All right. That is following up on the
- 3 Merewether and Price article that was published
- 4 earlier that year, correct?
- 5 A. Actually, it talks about Dr. Oliver who had
- a textbook about that time. I don't see where they
- 7 specifically mention Merewether and Price.
- 8 Q. You see that they do talk about studies in
- 9 the United Kingdom textile industry. Do you see
- 10 that in there?
- 11 A. I'm looking. No, they're quoting Sir
- 12 Thomas Oliver, but it's the same industry.
- 13 Q. Fair enough.
- 14 A. I mean, I'll give you that.
- 15 Q. Fair enough.
- 16 A. It's not Merewether and Price, but it's
- 17 Oliver. He was another British physician doing
- 18 occupational medicine.
- 19 Q. Do you see the part on the next page, Page
- 20 11, that I've highlighted there?
- 21 A. Yes.
- Q. Where at the very top there were three
- cases in which continued exposure to high
- concentrations of the dust the fibrosis may be
- fully developed in seven to nine years, while with

- 1 milder degrees of exposure it may take from 15 to
- 2 25 years to develop fully.
- 3 A. Yes.
- Q. That's also what is cited in Merewether's
- 5 report, correct, the seven to nine years?
- 6 A. I don't recall that specifically, it's
- about an 80-page document, but it's probably from
- 8 Oliver's report and he may have been quoting
- 9 Merewether and Price.
- 10 Q. All right. They also discuss in the next
- paragraph that the report which they're referring
- in this journal, that special exhaust ventilation
- is necessary to remove dust in these industries,
- 14 especially in the processes of spinning and
- 15 weaving. The recommendations in the report include
- 16 the application of efficient localized exhaust
- 17 ventilation at dust-producing points; the
- 18 substitution of enclosed mechanical methods for
- 19 hand conveyance and for dusty handwork generally;
- the effective enclosure of dust-producing machines
- 21 and plant; the substitution of wet methods for dry.
- Do you see that?
- 23 A. I do.
- 24 Q. That's the same type of recommendations
- 25 that Dr. Merewether made in his 1930 report about

- how to reduce exposures, correct?
- 2 A. Yes.
- 3 Q. And those are the same recommendations that
- 4 were discussed in 1938 by Dr. Dreessen with the
- 5 U.S. Public Health Service, correct?
- 6 A. Yes.
- 7 Q. And that's the Public Health Service
- 8 Bulletin 241 that was published in 1938, correct?
- 9 A. Yes.
- 10 Q. Dr. Dreessen reported on textile industry
- 11 exposures in the United States similar to what
- 12 Dr. Merewether did in the United Kingdom?
- 13 A. Yes.
- 14 Q. He reported that you could get asbestosis
- from overexposure to asbestos?
- 16 A. Right.
- 17 Q. He also reported on those same methods to
- 18 reduce exposures, correct?
- 19 A. Yes.
- 20 Q. All right. Those methods to reduce the
- 21 exposures that we talked about were also
- 22 incorporated into the Walsh-Healey in 1951 that was
- adopted by the federal government, correct?
- 24 A. People have told me that; I have never read
- it. I have no reason to doubt that you're correct

- about it. I'm not going to disagree with you; I
- just have no independent knowledge of it.
- 3 MR. CASMERE: Let's mark this as
- 4 Defense Exhibit No. 2.
- 5 BY MR. CASMERE:
- 6 Q. I'll give you the highlighted copy to make
- 7 it easier for you and we'll mark a clean copy for
- 8 the --
- 9 A. Judge.
- 10 Q. Yeah.
- 11 - -
- 12 (Whereupon, Exhibit D-2, Safety and
- 13 Health Standards for Contractors performing Federal
- 14 Supply Contracts under the Walsh-Healey Public
- 15 Contracts Act, United States Department of Labor,
- 16 1952, was marked for identification.)
- 17 - -
- 18 BY MR. CASMERE:
- 19 Q. If you just turn to the second tab there
- 20 that I have highlighted.
- 21 A. Yes, sir. It's Page 24.
- 22 Q. Right. First of all, they -- the
- 23 Walsh-Healey Act adopts the ACGIH's TLV for
- asbestos of 5 million particles per cubic foot,
- 25 correct?

- 1 A. They do.
- Q. And that's under the mineral dose category?
- 3 A. Yes.
- 4 Q. Then the next page there, they have the
- 5 methods to reduce exposures?
- 6 A. Right. Local exhaust ventilation, personal
- 7 protective equipment. They discuss those.
- 8 Q. Same things as Merewether was discussing in
- 9 1930, same thing that Dr. Dreessen was discussing
- 10 in 1938, correct?
- 11 A. Correct.
- 12 Q. Are you aware that the Wisconsin Industrial
- 13 Commission had similar provisions as early as 1947?
- 14 A. Not especially. I know some state -- if
- 15 you recall my testimony before, some states did
- 16 have rules or adopted the ACGIH recommendations. I
- don't know which ones. There were a lot of them.
- 18 Q. Okay. You are familiar with what's known
- 19 as the grim reaper advertisement in the Asbestos
- 20 Worker Journal in 1961?
- 21 A. I've seen it, yes.
- MR. CASMERE: Let's mark this as
- 23 Exhibit No. 3.
- 24 - -
- 25 (Whereupon, Exhibit D-3, The

- 1 Asbestos Worker Journal, November 1961, was marked
- for identification.)
- - -
- 4 BY MR. CASMERE:
- 5 Q. It's the back flap, the last page.
- 6 A. Okay.
- 7 Q. This is the November 1961 Asbestos Worker
- 8 Journal, correct?
- 9 A. I believe so.
- 10 Q. There's a young -- a little girl saying a
- 11 prayer before Thanksgiving turkey?
- 12 A. Turkey, right.
- 13 Q. You have seen this or have been shown this
- 14 before, correct?
- 15 A. Yes.
- 16 Q. All right. In 1961, the Asbestos Workers
- Journal, the union publication for the asbestos
- 18 workers had the advertisement or this publication,
- 19 which was a grim reaper -- that had a picture of
- the grim reaper one on one side and a man's family
- 21 at the beach on the other saying, Is your future
- 22 with him or them, wear your respirator, correct?
- 23 A. That's what it says.
- Q. Your work with Dr. Selikoff revealed that
- even though there was information that Dr. Selikoff

- 1 was publishing in the Asbestos Worker Journal, even
- though there was publications like this 1961 ad in
- 3 the Asbestos Worker Journal in 1961, and even
- 4 though Dr. Selikoff met with and spoke with
- 5 insulators, including at their annual conference,
- 6 that as late as 1968 and 1969, the respirator use
- 7 among insulators was 30 percent or lower?
- 8 A. I don't know that number. Again, I have no
- 9 reason to -- I have no basis to disagree with you.
- 10 And if you have data on that --
- MR. McCOY: I'll object to
- 12 foundation for this witness.
- MR. CASMERE: I'll give you the
- foundation for it. Since I have all this stuff
- 15 with me, we might as well use it.
- 16 THE WITNESS: Haul less home.
- 17 MR. CASMERE: Right. The May 1969
- 18 Asbestos Worker Journal we'll do as Exhibit 4.
- 19 - -
- 20 (Whereupon, Exhibit D-4, Asbestos
- Journal, May 1969, was marked for identification.)
- 22 - -
- 23 BY MR. CASMERE:
- 24 Q. And I've tabbed the page for you there.
- 25 A. Thank you, sir.

- 1 MR. McCOY: The same objection to
- 2 foundation with this witness.
- 3 THE WITNESS: Actually, the numbers
- 4 are worse than what you gave me.
- 5 BY MR. CASMERE:
- 6 Q. What is?
- 7 A. You said 30 percent use them. It says here
- 8 four percent said they always wear a mask and
- 9 30 percent said they never used protection. I
- 10 guess that means 70 percent used them some time,
- 11 but...
- 12 Q. That's what he, Dr. Selikoff, was reporting
- to the asbestos workers in their journal, correct?
- 14 A. If he wrote the article, I guess it was, or
- 15 Nicholson.
- 16 Q. Whoever wrote it --
- 17 A. Yeah, it was Dr. Nicholson and Mr. Holaday,
- 18 H-O-L-A-D-Y -- D-A-Y.
- MR. McCOY: Same foundation and
- 20 hearsay objection.
- 21 THE WITNESS: Anyway, it said it
- 22 wasn't used on a regular basis by everybody.
- 23 BY MR. CASMERE:
- Q. You are also aware that the seminal
- 25 publication by Dr. Selikoff in 1964 that you

- 1 mentioned earlier was published in the 1964
- 2 Asbestos Workers Journal, correct?
- 3 A. Yes, sir.
- 4 Q. The journal republished Dr. Selikoff's 1964
- 5 article in its entirety?
- 6 A. I believe I have seen that.
- 7 Q. You certainly think -- I'm sorry.
- 8 You certainly would be of the
- 9 opinion that the work of Dr. Selikoff is reliable
- 10 and authoritative in the field of asbestos
- 11 research?
- 12 A. For the most part, yes.
- 13 Q. And the fact that the Asbestos Worker
- Journal was republishing that was a good thing to
- do, correct?
- 16 A. Yes.
- 17 Q. And in terms of information getting sent
- 18 out to asbestos workers through their union about
- 19 the health hazards of asbestos, it would be your
- 20 opinion that the Asbestos Worker Journal was a
- 21 reliable source of information for those
- 22 individuals?
- MR. McCOY: Objection to foundation.
- 24 THE WITNESS: I can't really speak
- to that because I've not studied what's in the

- journal. Anything that they published or
- 2 republished of Dr. Selikoff's I would take to be
- 3 accurate. I can't speak to anything else.
- 4 BY MR. CASMERE:
- 5 Q. The asbestos workers union, actually, it
- 6 hired Dr. Selikoff to start doing his research in
- 7 the late 1950s and through the 1960s, which
- 8 culminated in his study in 1964, correct?
- 9 A. I don't know if they hired him. I think
- 10 they supported his research, but I don't think they
- 11 hired him. I don't think he was on the payroll of
- 12 the union.
- 13 Q. Fair enough. That's probably a bad choice
- of words on my part, but they supported his
- 15 research?
- 16 A. Sure, and he clearly worked with the union.
- 17 And to this day, you know, there are still members
- of the union that I see on a regular basis and
- 19 interact with over issues about asbestos.
- Q. Have you seen the 1957 Asbestos Worker
- 21 Journal where they talk of the knowledge of health
- hazards of asbestos?
- 23 A. Not that I recall.
- 24 Q. Or the 1961 or 1962 or 19 -- other
- 25 publications from the Asbestos Worker Journal in

- the '60s where they talked about it?
- 2 A. I haven't seen them and I can't speak to if
- 3 Mr. Suoja ever saw them.
- 4 MR. McCOY: Same objection as to
- foundation. And, again, our relevance objections,
- 6 we're preserving those and they are not being
- 7 stated on the record each time.
- 8 BY MR. CASMERE:
- 9 O. Let me just see if I want to show you one
- 10 more or not. Let's not do that.
- 11 A. Okay.
- 12 Q. We've covered enough of that, I think.
- 13 A. Your point has been made, I think. May I
- 14 keep this?
- 15 Q. Yes, sir.
- MR. McCOY: My objections to these
- 17 documents that you've used here for the purposes of
- 18 admission are the same ones I've been making for
- 19 the questioning here, which is lack of foundation
- and, particularly, these are hearsay, and like I
- said, later we'll have the relevance issues.
- 22 BY MR. CASMERE:
- Q. You mentioned Dr. Hueper. You're familiar
- 24 with the publications of Dr. Hueper over the years?
- 25 A. Yes.

- 1 O. You consider him a reliable and
- 2 authoritative source of information about asbestos,
- 3 do you not?
- 4 A. For the most part.
- 5 Q. You're aware that in the 1940s, 1950s, and
- 6 even through the early 1960s, Dr. Hueper was
- 7 publishing the opinion that asbestosis was a
- 8 prerequisite for the development of lung cancer and
- 9 mesothelioma, correct?
- 10 A. Which was a mistake he made because the
- 11 people who were getting lung cancer in those days
- were the ones that had the heaviest exposures who
- also had asbestosis, you know. So in retrospect,
- it turns out to be wrong, but that's what he
- 15 thought at the time. Others have thought that and
- 16 still write about that, but the data no longer
- 17 supports that.
- 18 Q. Doll had said similar things because the
- 19 people he studied all had asbestos who also had
- 20 lung cancer?
- 21 A. Well, he was studying factories from the
- 22 '30s to the '50s and they all had very high
- exposures, including unreported cases, at least
- 24 unreported in the scientific literature, of
- 25 mesotheliomas going back to 1928 that never get

- 1 into the literature.
- Q. There are editorials and articles in the
- 3 Journal of the American Medical Association, JAMA,
- from the '40s and '50s where that sentiment is
- 5 expressed that asbestosis is necessary for the
- 6 development of an asbestos-related lung cancer,
- 7 correct?
- 8 A. Yes.
- 9 O. As you pointed out, that was based on the
- 10 evidence they had at time, which in retrospect
- 11 turns out probably to be inaccurate?
- 12 A. Right. One of the things I've learned as a
- physician is that things that you're even taught as
- 14 causative turn out to have no relationship
- whatsoever. Probably the classic example I think
- 16 of is ulcers when they said it was caused by stress
- 17 and it turns out most ulcers are caused by a
- 18 bacteria found in the stomach, which actually
- 19 earned somebody a Nobel Prize.
- 20 Q. I think the last thing I want to ask you
- 21 about is what you said about Dr. Stokinger and the
- 22 TLV.
- 23 A. 1956.
- 24 Q. Yeah, I have that here. I don't leave home
- 25 without it.

- 1 MR. CASMERE: We'll mark this as 5.
- 2 - -
- 3 (Whereupon, Exhibit D-5, American
- 4 Industrial Hygiene Association, Quarterly,
- 5 September 1956, was marked for identification.)
- - -
- 7 BY MR. CASMERE:
- Q. I've handed you the pages from the American
- 9 Industrial Hygiene Association, Quarterly,
- 10 September 1956, correct?
- 11 A. Yes, sir.
- 12 Q. This is the reference that you made earlier
- to Dr. Stokinger in '56 talking about his safety
- 14 factor --
- 15 A. Yes.
- 16 Q. -- right?
- 17 And he has some prepared discussion
- that is on Page 284 to 286, correct?
- 19 A. Right.
- 20 Q. That's where he talks about what he calls,
- 21 quote, Levels for Cancerigens, right?
- 22 A. Yes.
- Q. And that's what you're referring to in
- terms of the safety factor from 1- to 500, right?
- 25 A. Right. It says, The magnitude of the

- 1 safety factor is suggested to be from 100 to 500
- 2 when talking about cancer-causing agents.
- Q. In that article does he mention asbestos as
- 4 being a cancer-causing agent?
- 5 A. I don't see where he mentions it
- 6 specifically here.
- 7 Q. If you turn to the other -- if you look at
- 8 the front page that I gave you.
- 9 A. Right.
- 10 Q. It's the --
- 11 A. Page 340 now.
- 12 Q. Right. So 340 is some other prepared
- 13 remarks from Dr. Stokinger in the same journal,
- 14 correct?
- 15 A. Apparently. I mean, it's -- or a paper. I
- can't tell if it's -- he gave him his remarks.
- 17 Q. Fair enough. I'm sorry.
- 18 Page 342 --
- 19 A. Yes.
- 20 Q. -- he talks about Doll.
- 21 A. Yes.
- Q. And so clearly, he's read Doll by this
- point in time, in 1956, right?
- 24 A. It's a year later.
- Q. Right. And when he says there after

- discussing Doll that, With such relatively small
- 2 numbers of cases, one must be extremely cautious in
- drawing the conclusion of a causal relationship
- 4 between exposure and the disease, correct?
- 5 A. That's what he writes, but the sentence
- just before that was, Seven cases of lung cancer
- 7 were found among asbestos miners with no
- 8 asbestosis.
- 9 So we have the beginnings of the
- 10 correction of science here.
- 11 Q. Right. And below that, he says, Before a
- final decision is reached, it would seem well to
- wait until a more impressive number of cases has
- 14 been documented. Moreover, it seems to this author
- that the question of the nature of the asbestos in
- 16 different localities and the associated minerals
- such as chromium and nickel, both recognized
- 18 cancerigens, seem to have been too little
- 19 considered. Asbestos is a fibrous form of several
- 20 different species of mineral, a point commonly
- 21 disregarded. Correct?
- 22 A. That's what it says.
- Q. So he says there we need more information
- 24 about asbestos before we can draw these
- 25 conclusions?

- 1 A. That was his opinion, though Doll had
- 2 reached the opinion that, as did Hueper in 1942,
- 3 that asbestos caused lung cancer.
- 4 Q. But you mentioned earlier specifically
- 5 referring to Dr. Stokinger, so I wanted to --
- 6 A. Right.
- 7 Q. -- present you with what his remarks were
- 8 in 1956. Would you --
- 9 MR. McCOY: Is that being offered as
- 10 an 80318 publication?
- MR. CASMERE: Yeah, all of these.
- MR. McCOY: Okay.
- MR. CASMERE: Yeah. All of these
- 14 are.
- BY MR. CASMERE:
- 16 Q. And have you seen the affidavit that
- 17 Dr. Stokinger executed years ago?
- 18 A. No, I've never seen that.
- 19 Do you want that marked.
- 20 Q. Yeah.
- MR. CASMERE: Let's mark it as 6.
- 22 - -
- 23 (Whereupon, Exhibit D-6, Affidavit
- of Herbert E. Stokinger, was marked for
- 25 identification.)

- 1 - -
- 2 MR. McCOY: So my objection to that
- is hearsay; lack of foundation for this witness.
- 4 BY MR. CASMERE:
- 5 Q. You know Dr. Richard Lemon, correct?
- 6 A. Yes.
- 7 Q. You're friends with him, right?
- 8 A. Yes.
- 9 O. Feel free to call him and ask him if this
- is Herb Stokinger's signature because he confirmed
- it for me in a deposition years ago.
- 12 A. I have met Dr. Stokinger. I actually
- visited with him, but I don't know what his
- 14 signature looks like.
- 15 Q. All right. So what did Dr. Stokinger say
- 16 in his affidavit about whether or not the TLV for
- 17 asbestos was thought to protect against any cancer
- 18 from asbestos?
- MR. McCOY: I'll have a continuing
- objection here on foundation and hearsay grounds.
- MR. CASMERE: Sure.
- THE WITNESS: I'll read No. 11. I
- assume that's what you're referring to.
- BY MR. CASMERE:
- 25 Q. Yes, sir, that's good enough.

- 1 A. There was no separate asbestos threshold
- limit for cancer since it was believed by the TLV
- 3 Committee at the time that cancer was considered,
- 4 1964, that it did not occur without the presence of
- 5 asbestosis and, therefore, the established
- 6 threshold limit value adequately protected against
- 7 cancer.
- 8 Q. Is that your understanding of what
- 9 Dr. Stokinger's views were about that issue?
- MR. McCOY: Objection.
- 11 THE WITNESS: Well, the document
- speaks for itself, but in his '56 paper that we
- just tabbed as D-5, what he says was he had a
- 14 number of cases without asbestos, so one could say
- that they were ignoring some of the very evidence
- in front of them that they already understood.
- 17 BY MR. CASMERE:
- 18 Q. Or one could say that perhaps what they
- 19 thought previously turned out to be inaccurate when
- 20 they got more information; true?
- 21 A. One could say that as well.
- MR. CASMERE: I think I'll stop
- there. Thank you, Doctor.
- THE WITNESS: You're very welcome.
- MR. McCOY: Just a couple questions

- 1 I have in follow-up.
- 2 - -
- 3 REDIRECT EXAMINATION
- 4 - -
- 5 BY MR. McCOY:
- 6 Q. Dr. Frank, there were some questions about
- 7 the work that you had done for my law firm, Cascino
- 8 Vaughan Law Offices. Is it accurate to say that in
- 9 all of the individual cases for which you provided
- 10 a report that you had information from medical
- 11 records and work history of the clients on which to
- 12 base the reports?
- 13 A. I had medical records, work history, death
- 14 certificates, all the things that would be required
- for me to render such a report.
- 16 Q. And in recent cases, you had also designed
- 17 a questionnaire and asked my firm to follow that
- 18 questionnaire in terms of giving you more
- information for evaluating the case; is that right?
- 20 A. This was on the subject of lung cancer,
- 21 yes, not for mesothelioma.
- 22 O. There was some questions asked about the
- 23 mucin-producing statement in the -- was it the
- 24 pathology report of Mr. Suoja?
- 25 A. Yes, sir.

- 1 Q. Okay. How is that or how does that factor
- 2 into your thinking in this case as to whether the
- 3 condition of mesothelioma is asbestos related?
- 4 A. That was done on a frozen section, that's
- done without stains, it's done very quickly while
- 6 the patient is literally still on the operating
- 7 table, and the diagnosis very often changes from
- 8 that. And, in fact, mesothelioma was considered in
- 9 the final tissue analysis, which takes several days
- 10 to process, stain, look at, led to the diagnosis of
- 11 mesothelioma. So it doesn't change my opinion the
- 12 fact that it was considered at the time of the
- surgery or laparoscopy with a frozen section
- 14 evaluation.
- 15 Q. Okay. And so this is actually in the
- 16 records and is something, then, that was also taken
- 17 into account by the pathologist who rendered the
- 18 final diagnosis?
- 19 A. Yes, sir.
- 20 Q. And that pathologist was from the hospital
- 21 or clinic that was actually treating Mr. Suoja; is
- that right?
- 23 A. Yes.
- 24 Q. There was some discussions here about, I
- think, your opinion on the cumulative exposures.

- 1 Has that word been used by other scientist in
- 2 assessing causation?
- 3 A. Absolutely.
- Q. Okay. I think you had the two articles
- 5 here that we talked about earlier. One was
- 6 Exhibit 203 on --
- 7 A. Hillerdal?
- 8 Q. This is the Hillerdal.
- 9 A. He talks about cumulative exposure.
- 10 Q. Okay. I was just going to say I had a copy
- of his article here. And I had just pulled up the
- 12 computer and did a word search on this,
- 13 "cumulative" within his article. So I see it here
- one time and what is he saying?
- 15 A. Talks about cumulative exposure from the
- 16 history.
- 17 Q. Okay.
- 18 A. I can't read it all from here.
- 19 Q. Let me move it a little closer to you.
- 20 Okay. What does he say there?
- 21 A. Thus with so-called non-occupational
- 22 exposure, the typical exposure is low or very low,
- almost unmeasurable background concentration, but
- occasionally high exposure when there is a
- disturbance of some kind. It follows, firstly,

- 1 that retrospective estimation of cumulative
- 2 exposure from history alone is an impossibility in
- 3 most cases; but secondly, and perhaps more
- 4 importantly, that any person living or working in,
- or even temporarily visiting, buildings where
- 6 asbestos has been used in construction or otherwise
- 7 might have been exposed to high concentrations of
- 8 airborne asbestos fibers once or many times in
- 9 their lives, and in most instances, unknowingly.
- 10 Q. I'll let you keep clicking the mouse there.
- 11 Are there other references to cumulative, then, in
- 12 there?
- 13 A. Yes.
- 14 Q. Okay. Is there another reference?
- 15 A. Yes, just a moment.
- 16 Q. Can you read us that second reference?
- 17 A. A better way of estimating lifelong
- 18 exposure might be analysis of fibers in the lungs,
- 19 but as already mentioned, fibers do clear from the
- 20 lungs. How big the difference is in clearance are
- 21 between people is unknown. Thus, the correlation
- 22 between lifetime cumulative exposure and fiber
- concentration in the lungs is not excellent, but
- the findings from the lungs probably give a better
- 25 estimation of exposure than even a careful

- 1 retrospective analysis of the patient's history, at
- 2 least in low grade exposure.
- 3 Q. Is that discussion about, he says "lifetime
- 4 cumulative exposure," the same as what you're
- 5 referring to in your report?
- 6 A. Yes, sir.
- 7 Q. Okay. Go ahead and see if there's any
- 8 other references in there. Just click that mouse.
- 9 A. Although the background, hardly --
- 10 Q. Is this the third reference?
- 11 A. The third reference.
- 12 Q. Okay. Read that.
- 13 A. Although the background, hardly measurable,
- 14 concentrations of fibers in the air cannot be
- 15 completely dismissed, the cumulative risk of these
- 16 exposures is probably minor. And what is more,
- there is no way to reduce those concentrations.
- So, again, he's referring to
- 19 cumulative exposure. And I think that's it.
- Q. And that's how he's assessing mesothelioma
- or cases is based on these cumulative exposures?
- 22 A. And that's what all scientists are supposed
- 23 to do.
- Q. And that's a peer-reviewed publication in
- Occupational and Environmental Medicine in 1999,

- 1 right?
- 2 A. Yes, sir.
- Q. Okay. Now, let's take a look at the
- 4 Greenberg publication, which is Plaintiff's
- 5 Exhibit 202 for a moment. I did the same thing
- 6 there and set up a word search.
- 7 Would you click the mouse and see if
- 8 you find "cumulative" in that publication?
- 9 A. Yes.
- 10 Q. Okay. What does Greenberg say about
- 11 cumulative?
- 12 A. They're talking about the duration in
- intermittent exposure is presented as a cumulative
- 14 figure. That was what they say. So they, too,
- 15 looked at this concept of cumulative exposure.
- 16 Q. And that's another peer-reviewed
- 17 publication?
- 18 A. Yes, sir.
- 19 Q. If we went through all the literature,
- 20 would there be other examples of scientists who
- look at causation for asbestos in mesothelioma as
- 22 function cumulative lifetime exposures?
- 23 A. Absolutely. That's what all those other
- 24 publications I talked about, LeCourt, Rodelsberger,
- Iwatsubo, that's exactly what they were doing,

- 1 lifetime cumulative exposure.
- 2 Q. In the course of the follow-up of the -- I
- 3 think you said a group of 17,000 insulators?
- 4 A. 17,800, yes.
- 5 Q. Okay. Was there any of these insulators
- 6 who had, to your knowledge, in this group,
- 7 expressed that they were -- and this is in response
- 8 -- I ask this question only as a follow-up to what
- 9 I think is objectionable testimony that Mr. Casmere
- 10 had or documents, but -- let me start that question
- 11 again.
- 12 In the course of your work with this
- group of 17,000 insulators, was there ever someone
- who said that, oh, gee, I read Dr. Selikoff's
- 15 article, and by 1970, I was -- when I read that, I
- 16 was persuaded that I had to wear a mask to protect
- 17 myself?
- MR. CASMERE: Objection to form;
- 19 foundation; argumentative.
- 20 THE WITNESS: I've never heard that
- 21 -- I'll leave it at that. I've never heard that.
- 22 BY MR. CASMERE:
- 23 Q. There was a question about Dr. Hueper and
- some other authors about whether asbestosis being
- 25 -- is being a prerequisite for diagnosing

- 1 mesothelioma.
- 2 A. No, it was about lung cancer.
- 3 Q. About lung cancer?
- 4 A. It was only about lung cancer. Nobody has
- 5 ever said -- nobody has ever written that
- 6 asbestosis is a prerequisite for mesothelioma.
- 7 Q. I was going to ask you, then, in the
- 8 Helsinki Criteria on mesothelioma, is there any
- 9 mention of needing asbestos?
- 10 A. Absolutely not.
- 11 Q. There was reference to Dr. Stokinger's
- 12 article, and I think that was marked as a Defendant
- 13 exhibit now.
- 14 A. Yes.
- 15 Q. Okay. So what I'd like to do is to turn to
- 16 the discussion that Dr. Stokinger had that you were
- 17 referring to about the safety factor for
- 18 carcinogens.
- 19 A. Right.
- 20 Q. Okay. And by the way, this is a prepared
- 21 discussion by Dr. Stokinger that was published in
- the Industrial Hygiene, Quarterly; is that right?
- 23 A. Yes.
- Q. Okay. And he was, according to this
- 25 prepared discussion, it says he is the chief

- 1 toxicological services and public health service
- 2 out of Cincinnati, Ohio, right?
- 3 A. Yes.
- 4 Q. And what I wanted to do was to publish a
- 5 couple portions of this relating to this comment
- 6 you made. Okay? One second.
- 7 Okay. I have highlighted a couple
- 8 sections.
- 9 A. Yeah.
- 10 Q. And I would like to have you go ahead and
- read those, and you can comment on anything else
- 12 you want to too.
- 13 A. On Page 285, as a member of the Threshold
- 14 Limits Committee, I was concerned over the
- 15 statement and took the trouble to review each
- 16 substance in the threshold limit list for 1955 as
- 17 to the basis for the choice of level.
- And this is referring to what some
- 19 had said was an educated guess, which I think it
- 20 often was.
- 21 Levels for Cancerigens,
- 22 C-A-N-C-E-R-I-G-E-N-S, There is still one group of
- 23 substances for which some methods should be devised
- for establishing safe air standards, the industrial
- 25 cancerigens. How shall we establish the limits for

- 1 this type of substance? Thus far, the question has
- been sidestepped completely. As a result, with one
- 3 exception, nickel carbonyl, limits taking into
- 4 consideration potential cancerigenicity have not
- 5 been assigned. Several industrial substances are
- known or suspected cancerigens; many more are
- 7 suspect on the basis of animal experiments. As a
- 8 suggested method of approach, the following is
- 9 offered: To the level judged safe for other types
- 10 of systemic injury add a safety factor for
- 11 carcinogenicity. The magnitude of the safety
- factor is suggested to be from 100 to 500.
- 13 Q. Okay. So if at that time the limit that
- was being used by the Threshold Limit Value
- 15 Committee and in other settings was the 5 million
- 16 participants per cubic foot -- is that right?
- 17 A. Yes.
- 18 Q. Okay. What would that actually be if it
- 19 was 100 to 500 times safety factor?
- 20 A. Five hundred times would bring it down to
- 21 -- from 5 million to what? 100,000 or less.
- 22 Q. Okay.
- 23 A. A hundred thousand times five...
- MR. CASMERE: Is 500,000.
- 25 THE WITNESS: Is 500,000. No, it

- 1 would be less than that. It would be under 100,000
- 2 fibers instead of 5 million.
- 3 BY MR. CASMERE:
- 4 Q. And that sounds like to me or to some
- 5 people that might sound like a lot of fibers.
- 6 A. Well, even now we have a level that's
- 7 legally allowed under OSHA that's not safe, but
- 8 still legally allowed, so these were, again,
- 9 recommendations. And while it didn't mention
- 10 asbestos specifically, it did talk about things
- 11 that were known or suspected carcinogens, and
- that's the safety factor you should build in.
- Q. What I'm getting at is although that sounds
- 14 like a lot of fibers, what if you can actually see
- the dust in the air, what are you talking about
- 16 there?
- 17 A. Then you're talking about much higher
- 18 levels. It would be 10,000 fibers instead of --
- 19 and you'd never see those.
- 20 Q. That would be the safety factor?
- 21 A. That would be the safety factor, 10,000
- 22 fibers.
- Q. When you say "you'd never see those," what
- do you mean?
- 25 A. I mean, that dissolve -- you know, in the

- 1 air in a --
- 2 Q. That number of fibers?
- 3 A. The fibers, you'd never see them.
- 4 Q. With the naked eye?
- 5 A. Correct.
- 6 Q. So if the conditions are described as
- dusty, what can you say about that exposure
- 8 relative to these kinds of numbers?
- 9 A. That they're much higher.
- 10 MR. McCOY: That's all the questions
- 11 I have, Doctor. Thank you.
- 12 THE WITNESS: You're welcome.
- MR. CASMERE: What am I on,
- 14 Exhibit 7 now?
- THE WITNESS: Seven.
- 16 - -
- 17 (Whereupon, Exhibit D-7,
- 18 Occupational and Environmental Cancers of the
- 19 Respiratory System by W.C. Hueper, 1966, was marked
- 20 for identification.)
- 21 - -
- 22 RECROSS-EXAMINATION
- 23 - -
- BY MR. CASMERE:
- Q. I'll give you Exhibit 7 here, Doctor, with

- 1 a tab for you. I've handed you Exhibit 7, which is
- 2 Occupational and Environmental Cancers of the
- Respiratory System by W.C. Hueper, correct?
- 4 A. Yes. That's his 1966 book, of which I own
- 5 a copy.
- 6 Q. You own a copy?
- 7 A. Right.
- 8 Q. Okay. You think it's authoritative enough
- 9 to own a copy?
- 10 A. Well, I bought it early in my career, and
- there's things in there I agree with and there's
- things in there I don't agree with, but I thought
- 13 Hueper was a good enough scientist that I ought to
- have a copy of it. There's a number of
- pathologists, for example, whose books I don't own
- because I don't think they're worth owning.
- 17 Q. We'll not talk about those people right
- 18 now.
- 19 A. That's fine.
- 20 Q. But this W.C. Hueper is the same Hueper
- 21 we've talked about before, right?
- 22 A. Right.
- Q. All right. What did he say in the
- highlighted section on Page 42 when talking about
- 25 asbestos and carcinoma of the --

- 1 A. He contradicts my statement that I made
- before, I don't recall ever seeing this, but that's
- 3 okay.
- 4 Q. But in 1966 --
- 5 A. He wrote --
- 6 Q. -- what did Hueper write?
- 7 A. And I'll read it: Since the presence of
- 8 asbestosis has usually been considered the
- 9 prerequisite for the subsequent development of a
- 10 carcinoma of the lung or of a mesothelioma of the
- 11 pleura or of the peritoneum, these deficiencies in
- the available information tend to impair a clear
- demonstration of the real scope of the existing
- 14 association between the two conditions.
- So what he's basically saying is
- 16 that's what we used to think and it's not really
- 17 what we ought to be thinking now.
- MR. CASMERE: Thank you.
- THE WITNESS: You're welcome. I'll
- 20 waive reading and signing.
- 21 - -
- 22 (Whereupon, the deposition of ARTHUR
- L. FRANK, M.D., Ph.D., was concluded at 11:18 a.m.)
- 24 - -

25

1	CERTIFICATION
2	
3	
4	
5	I hereby certify that the proceedings and
6	evidence noted are contained fully and accurately
7	in the stenographic notes taken by me upon the
8	foregoing matter on Wednesday, November 25, 2015,
9	and that this is a correct transcript of the same.
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